

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
1	CRD	4	7	Member States shall ensure that the functions of supervision pursuant to this Directive and to Regulation (EU) No 575/2013 and any other functions of the competent authorities are separate and independent from the functions relating to resolution. Member States shall inform the Commission and EBA thereof, indicating any division of duties.	Notification	Separation of supervisory and resolution functions	Notification on separation of supervisory and resolution functions	Ongoing	2
2	CRD	8	1	Member States shall require credit institutions to obtain authorisation before commencing their activities. Without prejudice to Articles 10 to 14, they shall lay down the requirements for such authorisation and notify EBA.	Notification	Authorisation and withdrawal of authorisation	Notification on authorisation requirements	Ongoing	2
3	CRD	8	2	EBA shall develop draft regulatory technical standards to specify: (a) the information to be provided to the competent authorities in the application for the authorisation of credit institutions, including the programme of operations provided for in Article 10; (b) the requirements applicable to shareholders and members with qualifying holdings pursuant to Article 14; and (c) obstacles which may prevent effective exercise of the supervisory functions of the competent authority, as referred to in Article 14.	RTS	Authorisation and withdrawal of authorisation	RTS on Authorisation of credit institutions	31/12/2015	2
4	CRD	8	3	EBA shall develop draft implementing technical standards on standard forms, templates and procedures for the provision of the information referred to in point (a) of the first subparagraph of paragraph 2. EBA shall submit the draft technical standards referred to in paragraphs 2 and 3 to the Commission by 31 December 2015.	ITS	Authorisation and withdrawal of authorisation	ITS on Authorisation of credit institutions	31/12/2015	2
5	CRD	12	4	Member States may grant authorisation to particular categories of credit institutions the initial capital of which is less than that specified in paragraph 1, subject to the following conditions: (a) the initial capital is no less than EUR 1 million; (b) the Member States concerned notify the Commission and EBA of their reasons for exercising that option.	Notification	Authorisation and withdrawal of authorisation	Notification on authorisation of particular categories of credit institutions	Ongoing	2
6	CRD	20	1	Competent authorities shall notify EBA of every authorisation granted under Article 8.	Notification	Authorisation and withdrawal of authorisation	Notification of authorisations of credit institutions	Ongoing	2
7	CRD	20	2	EBA shall publish on its website, and shall update regularly, a list of the names of all credit institutions that have been granted authorisation.	Publication	Authorisation and withdrawal of authorisation	List of authorised credit institutions	Ongoing	2
8	CRD	20	3	The consolidating supervisor shall provide the competent authorities concerned and EBA with all information regarding the group of credit institutions in accordance with Article 14(3), Article 74(1) and Article 109(2), in particular regarding the legal and organisational structure of the group and its governance.	Notification	Authorisation and withdrawal of authorisation	Notification on the Group's structure and governance	Ongoing	2
9	CRD	20	5	The competent authorities shall notify EBA of each withdrawal of authorisation together with the reasons for such a withdrawal.	Notification	Authorisation and withdrawal of authorisation	Notification on withdrawal of authorisations	Ongoing	2
10	CRD	22	9	EBA shall develop draft implementing technical standards to establish common procedures, forms and templates for the consultation process between the relevant competent authorities as referred to in Article 24. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2015.	ITS	Qualifying holdings	RTS on the notification on proposed acquisitions	31/12/2015	2
11	CRD	37	N/A	Member States shall inform the Commission and EBA of the number and type of cases in which there has been a refusal pursuant to Article 35 and Article 36(3).	Notification	Passporting	Notification of refusals of passports	Ongoing	2
12	CRD	41	2	Where the competent authorities of the host Member State have not fulfilled their obligations or will not fulfil their obligations pursuant to the second subparagraph of paragraph 1, they may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010. Where EBA acts in accordance with that Article, it shall take any decision under Article 19(3) of that Regulation within 24 hours.	Mediation	Home-host	Mediation on measures taken by home authorities in relation to activities in host country	N/A	2
13	CRD	41	2	EBA may also assist the competent authorities in reaching an agreement on its own initiative in accordance with the second subparagraph of Article 19(1) of that Regulation.	Mediation	Home-host	Mediation on measures taken by home authorities on EBA's own	N/A	2
14	CRD	43	5	The Commission, EBA and the competent authorities of the other Member States concerned shall be informed of precautionary measures without undue delay.	Notification	Home-host	Notification of precautionary measures in emergency situations	Ongoing	2
15	CRD	43	5	Where the competent authorities of the home Member State or of any other affected Member State object to measures taken by the competent authorities of the host Member State, they may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010. Where EBA acts in accordance with that Article, it shall take any decision under Article 19(3) of that Regulation within 24 hours. EBA may also assist the competent authorities in reaching an agreement on its own initiative in accordance with the second subparagraph of Article 19(1) of that Regulation.	Mediation	Home-host	Mediation on precautionary measures in emergency situations	N/A	2

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16	CRD	47	2	The competent authorities shall notify the Commission, EBA and the European Banking Committee established by Commission Decision 2004/10/EC (1) of all authorisations for branches granted to credit institutions having their head office in a third country.	Notification	3rd countries	Notification of authorisations for branches of 3rd country institutions	Ongoing	2
17	CRD	48	4	EBA shall assist the Commission for the purposes of this Article in accordance with Article 33 of Regulation (EU) No 1093/2010.	Advice	3rd countries	N/A	N/A	2
18	CRD	51	2	Where the competent authorities of the home Member State have not consulted the competent authorities of the host Member State, or where, following such consultation, the competent authorities of the host Member State maintain that operational steps required by Article 86(11) are not adequate, the competent authorities of the host Member State may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010.	Mediation	Home-host	Mediation on decision regarding identification of significance of branches	N/A	2
19	CRD	51	4	EBA shall develop draft regulatory technical standards in order to specify general conditions for the functioning of colleges of supervisors.	RTS	Home-host	RTS on functioning of colleges	31/12/2014	1
20	CRD	51	5	EBA shall develop draft implementing technical standards in order to determine the operational functioning of colleges of supervisors. EBA shall submit the draft technical standards referred to in paragraphs 4 and 5 to the Commission by 31 December 2014.	ITS	Home-host	ITS on functioning of colleges	31/12/2014	1
21	CRD	53	2	Paragraph 1 shall not prevent the competent authorities from exchanging information with each other or transmitting information to the ESRB, EBA, or the European Supervisory Authority (European Securities and Markets Authority) ("ESMA") established by Regulation (EU) No 1095/2010 of the European Parliament and of the Council (1) in accordance with this Directive, with Regulation (EU) No 575/2013, with other Directives applicable to credit institutions, with Article 15 of Regulation (EU) No 1092/2010, with Articles 31, 35 and 36 of Regulation (EU) No 1093/2010 and with Articles 31 and 36 of Regulation (EU) No 1095/2010. <u>That information shall be subject to paragraph 1.</u>	Information	various	-	Ongoing	2
22	CRD	53	3	Paragraph 1 shall not prevent the competent authorities from publishing the outcome of stress tests carried out in accordance with Article 100 of this Directive or Article 32 of Regulation (EU) No 1093/2010 or from transmitting the outcome of stress tests to EBA for the purpose of the publication by EBA of the results of Union-wide stress tests.	Notification	Stress testing	-	Ongoing	2
23	CRD	55	1	Member States and EBA, in accordance with Article 33 of Regulation (EU) No 1093/2010, may conclude cooperation agreements, providing for exchanges of information, with the supervisory authorities of third countries or with authorities or bodies of third countries in accordance with Article 56 and Article 57(1) of this Directive only if the information disclosed is subject to a guarantee that professional secrecy requirements at least equivalent to those referred to in Article 53(1) of this Directive are complied with. Such exchange of information shall be for the purpose of performing the supervisory tasks of those authorities or bodies. Where the information originates in another Member State, it shall only be disclosed with the express agreement of the authorities which have disclosed it and, where appropriate, solely for the purposes for which those authorities gave their agreement.	Notification	Colleges	-	Ongoing	2
24	CRD	57	5	The competent authorities shall communicate to EBA the names of the authorities or bodies which may receive information pursuant to this Article.	Notification	Exchange of information	Notification on the identification of authorities or bodies for exchange of information	Ongoing	2
25	CRD	68	4	By 18 July 2015 EBA shall submit a report to the Commission on the publication of penalties by Member States on an anonymous basis as provided for under paragraph 2, in particular where there have been significant divergences between Member States in this respect. In addition, EBA shall submit a report to the Commission on any significant divergences in the duration of publication of penalties under national law.	Report	Supervisory disclosure	Report on administrative sanctions	18/07/2015	2
26	CRD	69	1	Subject to the professional secrecy requirements referred to in Article 53(1), the competent authorities shall inform EBA of all administrative penalties, including all permanent prohibitions, imposed under Articles 65, 66 and 67 including any appeal in relation thereto and the outcome thereof. EBA shall maintain a central database of administrative penalties communicated to it solely for the purposes of exchanging information between competent authorities. That database shall be accessible to competent authorities only and it shall be updated on the basis of the information provided by competent authorities.	Notification	Supervisory disclosure	Notification on administrative sanctions	Ongoing	2
27	CRD	69	2	Where a competent authority assesses good repute for the purposes of Article 13(1), Article 16(3), Article 91(1) and Article 121, it shall consult the EBA database of administrative penalties. In the event of a change of status or a successful appeal, EBA shall delete or update relevant entries in the database on request by the competent authorities	Notification	Supervisory disclosure	Notification on administrative sanctions	Ongoing	2
28	CRD	69	4	EBA shall maintain a website with links to each competent authority's publication of administrative penalties under Article 68 and shall show the time period for which each Member State publishes administrative penalties.	Publication	Supervisory disclosure	Publication of administrative sanctions	N/A	2
29	CRD	74	3	EBA shall issue guidelines on the arrangements, processes and mechanisms referred to in paragraph 1, in accordance with paragraph 2.	Guidelines	Internal Governance	Guidelines on Internal Governance	N/A	2

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30	CRD	74	4	In accordance with Article 25 of Regulation (EU) No 1093/2010, EBA shall be entitled to participate in and contribute to the development and coordination of effective and consistent recovery and resolution plans. In that regard EBA shall be informed of, and shall be entitled to participate in, meetings relating to the development and coordination of recovery and resolution plans. Where any such meetings or activities take place, EBA shall be fully informed in advance of the organisation of such meetings, of the main issues to be discussed and of the activities to be considered.	Notification	Recovery and Resolution Planning	Notification and the EBA's participation in meetings on recovery and resolution	Ongoing	2
31	CRD	75	1	Competent authorities shall collect the information disclosed in accordance with the criteria for disclosure established in points (g), (h) and (i) of Article 450(1) of Regulation (EU) No 575/2013 and shall use it to benchmark remuneration trends and practices. The competent authorities shall provide EBA with that information.	Notification	Remuneration	Notification on remuneration trends and practices	Ongoing	2
32	CRD	75	1	Competent authorities shall collect the information disclosed in accordance with the criteria for disclosure established in points (g), (h) and (i) of Article 450(1) of Regulation (EU) No 575/2013 and shall use it to benchmark remuneration trends and practices. The competent authorities shall provide EBA with that information.	Guidelines (own initiative)	Remuneration	GL on the Remuneration Benchmarking Exercise	N/A	2
33	CRD	75	2	EBA shall issue guidelines on sound remuneration policies which comply with the principles set out in Articles 92 to 95. The guidelines shall take into account the principles on sound remuneration policies set out in Commission Recommendation 2009/384/EC of 30 April 2009 on remuneration policies in the financial services sector ¹ .	Guidelines	Remuneration	GL on Remuneration Policies	N/A	2
34	CRD	75	2	EBA shall use the information received from the competent authorities in accordance with paragraph 1 to benchmark remuneration trends and practices at Union level.	Publication	Remuneration	Benchmark Remuneration Trends and Practices	Ongoing	2
35	CRD	75	2	ESMA shall cooperate closely with EBA to develop guidelines on remuneration policies for categories of staff involved in the provision of investment services and activities within the meaning of point 2 of Article 4(1) of Directive 2004/39/EC.	Guidelines	Remuneration	GL on remuneration policies/Investment Services	N/A	2
36	CRD	75	3	Competent authorities shall collect information on the number of natural persons per institution that are remunerated EUR 1 million or more per financial year, in pay brackets of EUR 1 million, including their job responsibilities, the business area involved and the main elements of salary, bonus, long-term award and pension contribution. That information shall be forwarded to EBA, which shall publish it on an aggregate home Member State basis in a common reporting format. EBA may elaborate guidelines to facilitate the implementation of this paragraph and ensure the consistency of the information collected.	Guidelines	Remuneration	Guidelines on the data collection exercise for High Earners	N/A	2
37	CRD	75	3	Competent authorities shall collect information on the number of natural persons per institution that are remunerated EUR 1 million or more per financial year, in pay brackets of EUR 1 million, including their job responsibilities, the business area involved and the main elements of salary, bonus, long-term award and pension contribution. That information shall be forwarded to EBA, which shall publish it on an aggregate home Member State basis in a common reporting format. EBA may elaborate guidelines to facilitate the implementation of this paragraph and ensure the consistency of the information collected.	Publication	Remuneration	List of high-earners	Ongoing	2
38	CRD	78	2	Competent authorities shall ensure that institutions submit the results of the calculations referred to in paragraph 1 in accordance with the template developed by EBA in accordance with paragraph 8 to the competent authorities and to EBA. Where competent authorities choose to develop specific portfolios, they shall do so in consultation with EBA and ensure that institutions report the results of the calculations separately from the results of the calculations for EBA portfolios.	Notification	IRB	Benchmarking Exercise	Ongoing	2
39	CRD	78	3	EBA shall produce a report to assist the competent authorities in the assessment of the quality of the internal approaches based on the information referred to in paragraph 2.	Report	IRB	Benchmarking Exercise	N/A	2
40	CRD	78	6	EBA may issue guidelines and recommendations in accordance with Article 16 of Regulation (EU) No 1093/2010 where it considers them necessary on the basis of the information and assessments referred to in paragraphs 2 and 3 of this Article in order to improve supervisory practices or practices of institutions with regard to internal approaches.	Guidelines	IRB	Benchmarking Exercise	N/A	2
41	CRD	78	9	The Commission shall, by 1 April 2015 and after consulting EBA, submit a report to the European Parliament and to the Council on the functioning of the benchmarking of internal models including the scope of the model. Where appropriate, the report shall be followed by a legislative proposal.	Opinion/Consultation	IRB	Opinion on Benchmarking Exercise	01/04/2015	2
42	CRD	86	3	Competent authorities shall inform EBA about any actions carried out pursuant to the third subparagraph. EBA shall make recommendations where appropriate in accordance with Regulation (EU) No 1093/2010	Opinion/Consultation	Liquidity risk	-	N/A	2
43	CRD	91	6	Competent authorities may authorise members of the management body to hold one additional non-executive directorship. Competent authorities shall regularly inform EBA of such authorisations.	Notification	Internal Governance	List of approvals of additional Directorships	Ongoing	2
44	CRD	91	11	Competent authorities shall collect the information disclosed in accordance with Article 435(2)(c) of Regulation (EU) No 575/2013 and shall use it to benchmark diversity practices. The competent authorities shall provide EBA with that information. EBA shall use that information to benchmark diversity practices at Union level.	Guidelines (own initiative)	Internal Governance	Guidelines on benchmark diversity practices	N/A	2

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45	CRD	91	11	Competent authorities shall collect the information disclosed in accordance with Article 435(2)(c) of Regulation (EU) No 575/2013 and shall use it to benchmark diversity practices. The competent authorities shall provide EBA with that information. EBA shall use that information to benchmark diversity practices at Union level.	Publication	Internal Governance	Report on diversity practices at the Union Level	Ongoing	2
46	CRD	91	12	EBA shall issue guidelines on the following: (a) the notion of sufficient time commitment of a member of the management body to perform his functions, in relation to the individual circumstances and the nature, scale and complexity of activities of the institution; EBA shall issue those guidelines by 31 December 2015	Guidelines	Internal Governance	Guidelines on Internal Governance/ Guidelines on the assessment of the suitability of members of the management body	31/12/2015	2
47	CRD	91	12	EBA shall issue guidelines on the following: (c) the notions of honesty, integrity and independence of mind of a member of the management body as referred to in paragraph 8; EBA shall issue those guidelines by 31 December 2015	Guidelines	Internal Governance	Guidelines on Internal Governance/ Guidelines on the assessment of the suitability of members of the management body	31/12/2015	2
48	CRD	91	12	EBA shall issue guidelines on the following: (d) the notion of adequate human and financial resources devoted to the induction and training of members of the management body as referred to in paragraph 9; EBA shall issue those guidelines by 31 December 2015	Guidelines	Internal Governance	Guidelines on Internal Governance/ Guidelines on the assessment of the suitability of members of the management body	31/12/2015	2
49	CRD	91	12	EBA shall issue guidelines on the following: (e) the notion of diversity to be taken into account for the selection of members of the management body as referred to in paragraph 10. EBA shall issue those guidelines by 31 December 2015	Guidelines	Internal Governance	Guidelines on Internal Governance/ Guidelines on the assessment of the suitability of members of the management body	31/12/2015	2
50	CRD	91	12	EBA shall issue guidelines on the following: (b) the notion of adequate collective knowledge, skills and experience of the management body as referred to in paragraph 7; EBA shall issue those guidelines by 31 December 2015	Guidelines	Internal Governance	Guidelines on Internal Governance/ Guidelines on the assessment of the suitability of members of the management body	31/12/2015	2
51	CRD	94	1	EBA shall prepare and publish, by 31 March 2014, guidelines on the applicable notional discount rate taking into account all relevant factors including inflation rate and risk, which includes length of deferral. The EBA guidelines on the discount rate shall specifically consider how to incentivise the use of instruments which are deferred for a period of not less than five years;	Guidelines	Remuneration	Guidelines on the applicable notional discount rate for long term deferred variable remuneration	31/03/2014	1
52	CRD	94	1	The institution shall, without delay, inform the competent authority of the decisions taken by its shareholders or owners or members, including any approved higher maximum ratio pursuant to the first subparagraph of this point, and the competent authorities shall use the information received to benchmark the practices of institutions in that regard. The competent authorities shall provide EBA with that information and EBA shall publish it on an aggregate home Member State basis in a common reporting format. EBA may elaborate guidelines to facilitate the implementation of this indent and to ensure the consistency of the information collected;	Publication	Remuneration	Report on approved higher maximum ratios for variable remuneration	N/A	2
53	CRD	94	1	the institution shall, without delay, inform the competent authority of the decisions taken by its shareholders or owners or members, including any approved higher maximum ratio pursuant to the first subparagraph of this point, and the competent authorities shall use the information received to benchmark the practices of institutions in that regard. The competent authorities shall provide EBA with that information and EBA shall publish it on an aggregate home Member State basis in a common reporting format. EBA may elaborate guidelines to facilitate the implementation of this indent and to ensure the consistency of the information	Guidelines	Remuneration	Guidelines on approved higher maximum ratios for variable remuneration	N/A	2
54	CRD	94	2	EBA shall develop draft regulatory technical standards with respect to specifying the classes of instruments that satisfy the conditions set out in point (I)(ii) of paragraph 1 EBA shall submit those draft regulatory technical standards to the Commission by 31 March 2014	RTS	Remuneration	RTS on instruments that satisfy the conditions under Article 90 (i) (ii)	31/03/2014	1
55	CRD	94	2	EBA shall develop draft regulatory technical standards ... with respect to qualitative and appropriate quantitative criteria to identify categories of staff whose professional activities have a material impact on the institutions risk profile as referred to in article 92(2). EBA shall submit those draft regulatory technical standards to the Commission by 31 March 2014.	RTS	Remuneration	RTS on criteria for the identification of staff	31/03/2014	1
56	CRD	97	5	Member States shall ensure that whenever a review shows that an institution may pose systemic risk in accordance with Article 23 of Regulation (EU) No 2010/1093 the competent authority informs EBA without delay about the results of the review.	Notification	SREP	-	Ongoing	2
57	CRD	100	2	EBA shall issue guidelines in accordance with Article 16 of Regulation (EU) No 1093/2010 to ensure that common methodologies are used by the competent authorities when conducting annual supervisory stress tests.	Guidelines	Stress testing	Guidelines on supervisory stress testing	TBD	2

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58	CRD	101	5	In order to promote consistent soundness of internal approaches in the Union, EBA shall analyse internal approaches across institutions, including the consistency of implementation of the definition of default and how those institutions treat similar risks or exposures. EBA shall develop guidelines in accordance with Article 16 of Regulation (EU) No 1093/2010, which contain benchmarks on the basis of that analysis.	Guidelines	Internal approaches	GL on review of the permission to use internal approaches	TBD	2
59	CRD	103	2	The competent authorities shall notify EBA where they apply paragraph 1. EBA shall monitor supervisory practices and issue guidelines to specify how similar risks should be assessed and how consistent application of paragraph 1 across the Union can be ensured. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	Supervisory measures	GL for application of supervisory measures to institutions with similar risk profiles	TBD	2
60	CRD	107	1	Competent authorities shall inform EBA of: (a) the functioning of their review and evaluation process referred to in Article 97; (b) the methodology used to base decisions referred to in Articles 98, 100, 101, 102, 104 and 105 on the process referred to in point (a).	Information	SREP	Information on functioning of national SREP approaches	N/A	1
61	CRD	107	1	EBA shall assess the information provided by competent authorities for the purposes of developing consistency in the supervisory review and evaluation process. It may request additional information from competent authorities in order to complete its assessment, on a proportional basis in accordance with Article 35 of Regulation (EU) No 1093/2010.	Report	SREP	Assessment of national SREP approaches	Ongoing	2
62	CRD	107	2	EBA shall annually report to the European Parliament and the Council on the degree of convergence of the application of this Chapter between Member States.	Report	SREP	Assessment of national SREP approaches	Ongoing	2
63	CRD	107	3	EBA shall issue guidelines addressed to the competent authorities in accordance with Article 16 of Regulation (EU) No 1093/2010 to further specify, in a manner that is appropriate to the size, the structure and the internal organisation of institutions and the nature, scope and complexity of their activities, the common procedures and methodologies for the supervisory review and evaluation process referred to in paragraph 1 of this Article and in Article 97 and for the assessment of the organisation and treatment of the risks referred to in Articles 76 to 87, in particular relating to concentration risk in accordance with Article 76.	Guidelines	SREP	GL on SREP methodologies and processes	31/12/2014	1
64	CRD	111	6	The competent authorities shall notify the Commission and EBA of any agreement falling within paragraph 5.	Notification	Home-host	Notification of waivers from consolidating supervision	Ongoing	2
65	CRD	112	2	Where the consolidating supervisor fails to carry out the tasks referred to in paragraph 1 or where the competent authorities do not cooperate with the consolidating supervisor to the extent required in carrying out the tasks in paragraph 1, any of the competent authorities concerned may refer the matter to EBA and request its assistance under Article 19 of Regulation (EU) No 1093/2010.	Mediation	Home-host	Mediation on coordination of activities by consolidating supervisor	N/A	2
66	CRD	112	2	EBA may also assist the competent authorities in the event of a disagreement concerning the coordination of supervisory activities under this Article on its own initiative in accordance with the second subparagraph of Article 19(1) of that Regulation.	Mediation	Home-host	Mediation on coordination of activities by consolidating supervisor on EBA's own initiative	N/A	2
67	CRD	113	2	The joint decisions shall be set out in documents containing full reasons which shall be provided to the EU parent institution by the consolidating supervisor. In the event of disagreement, the consolidating supervisor shall at the request of any of the other competent authorities concerned consult EBA. The consolidating supervisor may consult EBA on its own initiative.	Mediation	Home-host	Mediation (non-binding) on joint decision on institution-specific prudential requirements	N/A	2
68	CRD	113	3	In the absence of such a joint decision between the competent authorities within the time periods referred to in paragraph 2, a decision on the application of Articles 73, 86 and 97, Article 104(1)(a) and Article 105 shall be taken on a consolidated basis by the consolidating supervisor after duly considering the risk assessment of subsidiaries performed by relevant competent authorities. If, at the end of the time periods referred to in paragraph 2, any of the competent authorities concerned has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the consolidating supervisor shall defer its decision and await any decision that EBA may take in accordance with Article 19(3) of that Regulation, and shall take its decision in conformity with the decision of EBA. The time periods referred to in paragraph 2 shall be deemed the conciliation periods within the meaning of Regulation (EU) No 1093/2010. EBA shall take its decision within 1 month. The matter shall not be referred to EBA after the end of the four month period or one-month period, as applicable, or after a joint decision has been reached. The decision on the application of Articles 73, 86 and 97, Article 104(1)(a) and Article 105 shall be taken by the respective competent authorities responsible for supervision of subsidiaries of an EU parent credit institution or a EU parent financial holding company or EU parent mixed financial holding company on an individual or sub-consolidated basis after duly considering the views and reservations expressed by the consolidating supervisor. If, at the end of any of the time periods referred to in paragraph 2, any of the competent authorities concerned has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the competent authorities shall defer their decision and await any decision that EBA shall take in accordance with Article 19(3) of that Regulation, and shall take their decision in conformity with the decision of EBA. The time periods referred to in paragraph 2 shall be deemed the conciliation periods within the meaning of that Regulation. EBA shall take its decision within 1 month. The matter shall not be referred	Mediation	Joint decision	Mediation (binding) on joint decision on institution-specific prudential requirements	N/A	2

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69	CRD	113	5	EBA shall develop draft implementing technical standards to ensure uniform conditions of application of the joint decision process referred to in this Article, with regard to the application of Articles 73, 86 and 97, Article 104(1)(a) and Article 105 with a view to facilitating joint decisions. EBA shall submit those draft implementing technical standards to the Commission by 1 July 2014.	ITS	Home-host	ITS on joint decision on institution specific prudential requirements-capital	01/07/2014	1
70	CRD	114	1	Where an emergency situation, including a situation as described in Article 18 of Regulation (EU) No 1093/2010 or a situation of adverse developments in markets, arises, which potentially jeopardises the market liquidity and the stability of the financial system in any of the Member State where entities of a group have been authorised or where significant branches referred to in Article 51 are established, the consolidating supervisor shall, subject to Chapter 1, Section 2, and where applicable Articles 54 and 58 of Directive 2004/39/EC, alert as soon as is practicable, EBA and the authorities referred to in Article 58(4) and Article 59 and shall communicate all information essential for the pursuance of their tasks. Those obligations shall apply to all competent authorities. If an ESCB central bank becomes aware of a situation described in the first subparagraph, it shall alert as soon as is practicable the competent authorities referred to in Article 112, and EBA.	Notification	Crisis management	Notification of emergency situations	Ongoing	2
71	CRD	115	2	The competent authorities responsible for authorising the subsidiary of a parent undertaking which is an institution may, by bilateral agreement, in accordance with Article 28 of Regulation (EU) No 1093/2010, delegate their responsibility for supervision to the competent authorities which authorised and supervise the parent undertaking so that they assume responsibility for supervising the subsidiary in accordance with this Directive. EBA shall be kept informed of the existence and content of such agreements. It shall forward such information to the competent authorities of the other Member States and to the European Banking Committee.	Notification	Home-host	Notification of bilateral MoUs	Ongoing	2
72	CRD	116	1	EBA shall contribute to promoting and monitoring the efficient, effective and consistent functioning of colleges of supervisors referred to in this Article in accordance with Article 21 of Regulation (EU) No 1093/2010. To that end, EBA shall participate as appropriate and shall be considered to be a competent authority for that purpose.	Monitoring	Home-host	Report on operational functioning of colleges	Ongoing	2
73	CRD	116	1	Mapping of cross-border banking group presence in Europe (EEA cross-border banks and large 3rd country banks with cross-border operations in EEA)	Promoting and monitoring	Colleges	Database of cross-border banks	N/A	2
74	CRD	116	1	Ongoing participation in the work of colleges, including on-site visits and crisis management activities	Promoting and monitoring	Colleges		N/A	2
75	CRD	116	1	Engagement with 3rd country colleges in EU	Promoting and monitoring	Colleges		N/A	2
76	CRD	116	3	Update of the template for multilateral written agreements for colleges to reflect standards developed in 2013 (later to be further updated and included into ITS on operational functioning of colleges)	Various	Home-host	College MMoU	Ongoing	2
77	CRD	116	4	EBA shall develop draft regulatory technical standards in order to specify general conditions of functioning of the colleges of supervisors. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014	RTS	Home-host	RTS on functioning of colleges	31/12/2014	1
78	CRD	116	5	EBA shall develop draft implementing technical standards in order to determine the operational functioning of the colleges of supervisors. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2014	ITS	Home-host	ITS on functioning of colleges	31/12/2014	1
79	CRD	116	9	The consolidating supervisor, subject to the confidentiality requirements under Chapter 1, Section II, of this Directive, and where applicable, Articles 54 and 58 of Directive 2004/39/EC, shall inform EBA of the activities of the college of supervisors, including in emergency situations, and communicate to EBA all information that is of particular relevance for the purposes of supervisory convergence.	Promoting and monitoring	Colleges	-	N/A	2
80	CRD	116	9	In the event of a disagreement between competent authorities on the functioning of supervisory colleges, any of the competent authorities concerned may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010.	Mediation	Colleges	Mediation on the functioning of supervisory colleges	N/A	2
81	CRD	116	9	EBA may also assist the competent authorities in the event of a disagreement concerning the functioning of supervisory colleges under this Article on its own initiative in accordance with the second subparagraph of Article 19(1) of that Regulation.	Mediation	Colleges	Mediation on the functioning of supervisory colleges on EBA's own initiative	N/A	2
82	CRD	117	1	The competent authorities shall cooperate with EBA for the purposes of this Directive and Regulation (EU) No 575/2013, in accordance with Regulation (EU) No 1093/2010. The competent authorities shall provide EBA with all information necessary to carry out its duties under this Directive, under Regulation (EU) No 575/2013, and under Regulation (EU) No 1093/2010, in accordance with Article 35 of Regulation (EU) No 1093/2010.	Information	various	-	N/A	2
83	CRD	117	2	The competent authorities may refer to EBA any of the following situations: (a) where a competent authority has not communicated essential information; (b) where a request for cooperation, in particular to exchange relevant information, has been rejected or has not been acted upon within a reasonable time. Without prejudice to Article 258 TFEU, EBA may act in accordance with the powers conferred on it under Article 19 of Regulation (EU) No 1093/2010.	Mediation	Cooperation of supervisors		N/A	2
84	CRD	117	2	EBA may also assist the competent authorities in developing consistent cooperation practices on its own initiative in accordance with the second subparagraph of Article 19(1) of that Regulation.	Mediation	Cooperation of supervisors		N/A	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
85	CRD	120	4	EBA, EIOPA and ESMA shall, through the Joint Committee referred to in Article 54 of Regulations (EU) No 1093/2010, (EU) No 1094/2010 and (EU) No 1095/2010, develop guidelines aiming to converge supervisory practices and shall, within three years of the adoption of those guidelines, develop draft regulatory technical standards for the same purpose.	RTS	N/A	-	TBD	2
86	CRD	120	4	EBA, EIOPA and ESMA shall, through the Joint Committee referred to in Article 54 of Regulations (EU) No 1093/2010, (EU) No 1094/2010 and (EU) No 1095/2010, develop guidelines aiming to converge supervisory practices and shall, within three years of the adoption of those guidelines, develop draft regulatory technical standards for the same purpose.	Guidelines	N/A	-	TBD	2
87	CRD	125	3	The competent authorities responsible for supervision on a consolidated basis shall establish lists of the financial holding companies or mixed financial holding companies referred to in Article 11 of Regulation (EU) No 575/2013. Those lists shall be communicated to the competent authorities of the other Member States, to EBA and to the Commission.	Notification	Home-host	Notification of financial conglomerates	Ongoing	2
88	CRD	127	2	The Commission may request the European Banking Committee to give general guidance as to whether the consolidated supervision arrangements of supervisory authorities in third countries are likely to achieve the objectives of consolidated supervision as set out in this Chapter, in relation to institutions the parent undertaking of which has its head office in a third country. The European Banking Committee shall keep any such guidance under review and take into account any changes to the consolidated supervision arrangements applied by such competent authorities. EBA shall assist the Commission and the European Banking Committee in carrying out those tasks, including as to assessing whether such guidance should be updated.	Various	3rd countries	N/A	N/A	2
89	CRD	127	2	The competent authority carrying out the assessment referred to in the first subparagraph of paragraph 1 shall take into account any such guidance. For that purpose, the competent authority shall consult EBA before adopting a decision.	Opinion/Consultation	3rd countries	-	N/A	2
90	CRD	127	3	The supervisory techniques shall be designed to achieve the objectives of consolidated supervision as set out in this Chapter and shall be notified to the other competent authorities involved, to EBA and to the Commission.	Notification	various		TBD	2
91	CRD	129	2	Member States which decide to apply such an exemption shall notify the Commission, the ESRB, EBA and the competent authorities of the Member States concerned accordingly.	Notification	Macro-prudential measures	Notification on application of capital conservation buffers	Ongoing	2
92	CRD	130	2	Member States which decide to apply such an exemption shall notify the Commission, the ESRB, EBA and the competent authorities of the Member States concerned accordingly.	Notification	Macro-prudential measures	Notification on application of institutions specific countercyclical buffer	Ongoing	2
93	CRD	131	3	EBA, after consulting the ESRB, shall publish guidelines by 1 January 2015 on the criteria to determine the conditions of application of this paragraph in relation to the assessment of O-SIIs. Those guidelines shall take into account international frameworks for domestic systemically important institutions and Union and national specificities.	Guidelines	Macro-prudential measures	GL on methodology to identify O-SIIs	01/01/2015	2
94	CRD	131	7	Before setting or resetting an O-SII buffer, the competent authority or the designated authority shall notify the Commission, the ESRB, EBA, and the competent and designated authorities of the Member States concerned one month before the publication of the decision referred to in paragraph 5. That notification shall describe in detail:	Information	various	-	Ongoing	2
95	CRD	131	18	EBA shall develop draft regulatory technical standards to specify, for the purposes of this Article, the methodology in accordance with which the competent authority or the designated authority shall identify an EU parent institution or EU parent financial holding company or EU parent mixed financial holding company as a G-SII and to specify the methodology for the definition of the sub-categories and the allocation of G-SIIs in subcategories based on their systemic significance, taking into account any internationally agreed standards. EBA shall submit those draft regulatory technical standards to the Commission by 30 June 2014.	RTS	G-SiiS	RTS on identification of G-SIIs	30/06/2014	1
96	CRD	132	1	The Commission shall, by 31 December 2015, submit a report to the European Parliament and to the Council on the basis of international developments and EBA opinion on the possibility of extending the framework for G-SIIs to additional types of systemically important institutions within the Union, accompanied by a legislative proposal where appropriate.	Opinion/Consultation	G-SiiS	Extending the framework for G-SIIs to additional types	31/12/2015	2
97	CRD	132	2	The Commission shall, by 31 December 2016, after consulting the ESRB and EBA, submit a report to the European Parliament and to the Council on whether the provisions relating to G-SIIs as set out in Article 131 should be amended, accompanied by a legislative proposal where appropriate. Any such proposal shall take due account of international regulatory developments and shall review, where appropriate, the process of allocating institution-specific O-SII buffers within a group taking into consideration any possible undue impact on the implementation of structural separation within Member States.	Opinion/Consultation	various	-	N/A	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
98	CRD	133	11	Before setting or resetting a systemic risk buffer rate of up to 3 %, the competent authority or the designated authority shall notify the Commission, the ESRB, EBA and the competent and designated authorities of the Member States concerned one month before the publication of the decision referred to in paragraph 16. If the buffer applies to exposures located in third countries the competent authority or the designated authority shall also notify the supervisory authorities of those third-countries. That notification shall describe in detail: (a) the systemic or macroprudential risk in the Member State; (b) the reasons why the dimension of the systemic or macroprudential risks threatens the stability of the financial system at national level justifying the systemic risk buffer rate; (c) the justification for why the systemic risk buffer is considered likely to be effective and proportionate to mitigate the risk; (d) an assessment of the likely positive or negative impact of the systemic risk buffer on the internal market, based on information which is available to the Member State; the justification for why none of the existing measures in this Directive or in Regulation (EU) No 575/2013, excluding Articles 458 and 459 of that Regulation, alone or in combination, will be sufficient to address the identified macroprudential or systemic risk taking into account the relative effectiveness of those measures; (f) the systemic risk buffer rate that the Member State wishes to require.	Notification	various	-	Ongoing	2
99	CRD	133	12	Before setting or resetting a systemic risk buffer rate of above 3 %, the competent authority or the designated authority shall notify the Commission, the ESRB, EBA and the competent and designated authorities of the Member States concerned. If the buffer applies to exposures located in third-countries the competent authority or the designated authority shall also notify the supervisory authorities of those third-countries. That notification shall describe in detail:(..)	Notification	various	Systemic risk buffer rate of above 3 %	Ongoing	2
100	CRD	133	14	Where one subset of the financial sector is a subsidiary whose parent is established in another Member State, the competent authority or the designated authority shall notify the authorities of that Member State, the Commission and the ESRB. Within one month of the notification, the Commission and the ESRB shall issue a recommendation on the measures taken in accordance with this paragraph. Where the authorities disagree and in the case of a negative recommendation of both the Commission and the ESRB, the competent authority or the designated authority may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010. The decision to set the buffer for those exposures shall be suspended until EBA has taken a decision.	Mediation	various	-	N/A	2
101	CRD	133	14	Where one subset of the financial sector is a subsidiary whose parent is established in another Member State, the competent authority or the designated authority shall notify the authorities of that Member State, the Commission and the ESRB. Within one month of the notification, the Commission and the ESRB shall issue a recommendation on the measures taken in accordance with this paragraph. Where the authorities disagree and in the case of a negative recommendation of both the Commission and the ESRB, the competent authority or the designated authority may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010. The decision to set the buffer for those exposures shall be suspended until EBA has taken a decision.	Mediation	various	-	N/A	2
102	CRD	133	15	Within one month of the notification referred to in paragraph 12, the ESRB shall provide the Commission with an opinion as to whether the systemic risk buffer is deemed appropriate. EBA may also provide the Commission with its opinion on the buffer in accordance with Article 34(1) of Regulation (EU) No 1093/2010. Within two months of notification, the Commission, taking into account the assessment of the ESRB and EBA, if relevant, and if it is satisfied that the systemic risk buffer does not entail disproportionate adverse effects on the whole or parts of the financial system of other Member States or of the Union as a whole forming or creating an obstacle to the proper functioning of the internal market, shall adopt an implementing act authorising the competent authority or the designated authority to adopt the proposed	Notification	various	-	Ongoing	2
103	CRD	134	2	If Member States recognise the systemic risk buffer rate for domestically authorised institutions they shall notify the Commission, the ESRB, EBA and the Member State that sets that systemic risk buffer rate.	Notification	various	-	Ongoing	2
104	CRD	160	6	Member States may impose a shorter transitional period than that specified in paragraphs 1 to 4 and thereby implement the capital conservation buffer and the countercyclical capital buffer from 31 December 2013. Where a Member State imposes such a shorter transitional period, it shall inform the relevant parties, including the Commission, the ESRB, EBA and the relevant supervisory colleges, accordingly. Such a shorter transitional period may be recognised by other Member States. Where another Member State recognises such a shorter transitional period, it shall notify the Commission, the ESRB, EBA and the relevant supervisory college accordingly.	Notification	various	-	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
105	CRD	161	2	By 30 June 2016, the Commission shall, in close cooperation with EBA, submit a report to the European Parliament and to the Council, together with a legislative proposal if appropriate, on the provisions on remuneration in this Directive and in Regulation (EU) No 575/2013, following a review thereof, taking into account international developments and with particular regard to: (a) their efficiency, implementation and enforcement, including the identification of any lacunae arising from the application of the principle of proportionality to those provisions; (b) the impact of compliance with the principle in Article 94(1)(g) in respect of: (i) competitiveness and financial stability; and (ii) any staff working effectively and physically in subsidiaries established outside the EEA of parent institutions established within the EEA. That review shall consider, in particular, whether the principle set out in Article 94(1)(g) should continue to apply to any staff covered by point (b)(ii) of the first subparagraph.	Opinion/Consultation	Remuneration	Report from Commission on Remuneration Positions	30/06/2016	3
106	CRD	161	3	From 2014, EBA shall, in cooperation with EIOPA and ESMA, publish a biannual report analysing the extent to which Member States' law refers to external credit ratings for regulatory purposes and the steps taken by Member States to reduce such references. Those reports shall outline how the competent authorities meet their obligations under Article 77(1) and (3) and Article 79(b). Those reports shall also outline the degree of supervisory convergence in that regard. By 31 December 2014, the Commission shall review and report on the application of Articles 108 and 109 and shall submit that report to the European Parliament and to the Council together with a legislative proposal if appropriate.	Report	ECAIs	Report on reliance on external ratings	31/12/2014	1
107	CRD	161	6	By 31 December 2015, the Commission shall consult the ESRB, EBA, EIOPA, ESMA and other relevant parties on the effectiveness of information-sharing arrangements under this Directive, both in normal times and during times of stress.	Various	various	-	TBD	2
108	CRD	161	6	By 31 December 2015, the Commission shall consult the ESRB, EBA, EIOPA, ESMA and other relevant parties on the effectiveness of information-sharing arrangements under this Directive, both in normal times and during times of stress.	Opinion/Consultation	Home-host	-	31/12/2015	2
109	CRD	161	7	By 31 December 2015, EBA shall review and submit a report to the Commission on the application of this Directive and of Regulation (EU) No 575/2013 on the cooperation of the Union and Member States with third countries. That report shall identify any areas which require further development as regards cooperation and information sharing. EBA shall publish the report on its website.	Report	Financial sector entities operating in accordance with Islamic banking principles	Cooperation of the Union and Member States with third countries	31/12/2015	2
110	CRD	161	8	Upon receiving a mandate from the Commission, EBA shall explore whether financial sector entities which declare that they carry out their activities in accordance with Islamic banking principles are adequately covered by this Directive and by Regulation (EU) No 575/2013. The Commission shall review the report prepared by EBA and shall submit a legislative proposal to the European Parliament and to the Council if appropriate.	Report	Financial sector entities operating in accordance with Islamic banking principles	Islamic banking principles adequately covered	TBD	2
111	CRD	161	9	By 1 July 2014, EBA shall report to the Commission on credit institutions' use of and benefits from ESCB central banks longer-term refinancing operations and similar central bank funding support measures. Based on that report and after consulting the ECB, the Commission shall, by 31 December 2014, submit a report to the European Parliament and to the Council on the use of and benefits from those refinancing operations and funding support measures for credit institutions authorised in the Union, together with a legislative proposal on the use of such refinancing operations and funding support measures if appropriate.	Report	Liquidity risk	-	01/07/2014	1
112	CRD	162	1	By 31 December 2013 Member States shall adopt and publish the laws, regulations and administrative provisions necessary to comply with this Directive. Member States shall apply those provisions from 1 January 2014. Member States shall communicate to the Commission and to EBA the text of the main provisions of national law which they adopt in the field covered by this Directive. Where the documents accompanying notification of transposition measures provided by Member States are not sufficient to assess fully the compliance of the transposing provisions with certain provisions of this Directive, the Commission may, upon EBA's request with a view to carrying out its tasks under Regulation (EU) No 1093/2010, or on its own initiative, require Member States to provide more detailed information regarding the transposition and implementation of those provisions and this Directive. By way of derogation from paragraph 1, Title VII, Chapter 4 shall apply from 1 January 2016.	Opinion/Consultation	Organisation of supervision	Notification of transposition of the CRD	Ongoing	2
113	CRR	18	7	EBA shall develop draft regulatory technical standards to specify conditions according to which consolidation shall be carried out in the cases referred to in paragraphs 2 to 6 of this Article. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	RTS	Prudential consolidation	RTS on consolidation methods	31/12/2016	3

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
114	CRR	20	4	If, at the end of the six month period, any of the competent authorities concerned has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the consolidating supervisor shall defer its decision on paragraph 1(a) and await any decision that EBA may take in accordance with Article 19(3) of that Regulation on its decision, and shall take its decision in conformity with the decision of EBA. The six-month period shall be deemed the conciliation period within the meaning of that Regulation. EBA shall take its decision within 1 month. The matter shall not be referred to EBA after the end of the six	Mediation	Prudential consolidation	Mediation on joint decision on approval of internal models	N/A	2
115	CRR	20	5	If, at the end of the six month period, the consolidating supervisor has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the competent authority responsible for the supervision of the subsidiary on an individual basis shall defer its decision on point (b) of paragraph 1 of this Article and await any decision that EBA may take in accordance with Article 19(3) of that Regulation on its decision, and shall take its decision in conformity with the decision of EBA. The six-month period shall be deemed the conciliation period within the meaning of that Regulation. EBA shall take its decision within one month. The matter shall not be referred to EBA after the end of the six month period or after a joint decision has been reached.	Mediation	Joint decision	Disagreement in the process of reaching joint decision on approval of internal models	N/A	2
116	CRR	20	8	EBA shall develop draft implementing technical standards to specify the joint decision process referred to in paragraph 1(a), with regard to the applications for permissions referred to in Articles 138(1), 146(4), 146(9), 301(2), 277 and 352 with a view to facilitating joint decisions. EBA shall submit those technical standards to the Commission by 31 December 2014.	ITS	Joint decision	ITS on joint decision on approval of internal models	31/12/2014	1
117	CRR	21	1	The joint decision shall be reached within six months after submission by the consolidating supervisor of a report identifying single liquidity sub-groups on the basis of the criteria laid down in Article 8. In the event of disagreement during the six months period, the consolidating supervisor shall consult EBA at the request of any of the other competent authorities concerned. The consolidating supervisor may consult EBA on its own initiative.	Mediation	Prudential consolidation	Mediation on joint decisions on the level of application of liquidity requirements	N/A	2
118	CRR	21	2	However, any competent authority may during the six months period refer to EBA the question whether the conditions of (a) to (d) of Article 7(1) are met. In this case, EBA may carry out its non-binding mediation in accordance with Article 31 (c) of Regulation No (EC) 1093/2010. In such a case, all the competent authorities involved shall defer their decisions pending the conclusion of the non-binding mediation. Where, during the mediation, no agreement has been reached by the competent authorities within 3 months, each competent authority responsible for supervision on an individual basis shall take its own decision taking into account the proportionality of benefits and risks at the level of the Member State of the parent institution and the proportionality of benefits and risks at the level of the Member State of the subsidiary. The matter shall not be referred to EBA after the end of the six month period or after a joint decision has been reached.	Mediation	Prudential consolidation	Mediation on joint decisions on the level of application of liquidity requirements	N/A	2
119	CRR	21	3	Any relevant competent authority may also during the six months period consult EBA in the event of a disagreement on the conditions in points (a) to (d) of Article 8(3). In that case, EBA may carry out its non-binding mediation in accordance with Article 31(c) of Regulation (EU) No 1093/2010, and all the competent authorities involved shall defer their decisions pending the conclusion of the non-binding mediation. Where, during the mediation, no agreement has been reached by the competent authorities within three months, each competent authority responsible for supervision on an individual basis shall take its own decision.	Mediation	Prudential consolidation	Mediation on joint decisions on the level of application of liquidity requirements	N/A	2
120	CRR	26	3	Competent authorities shall evaluate whether issuances of Common Equity Tier 1 instruments meet the criteria set out in Article 28 or, where applicable, Article 29. With respect to issuances after 28 June 2013, institutions shall classify capital instruments as Common Equity Tier 1 instruments only after permission is granted by the competent authorities, which may consult EBA. For capital instruments, with the exception of State aid, that are approved as eligible for classification as Common Equity Tier 1 instruments by the competent authority but where, in the opinion of EBA, the compliance with the criteria in Article 28 or, where applicable, Article 29, is materially complex to ascertain, the competent authorities shall explain their reasoning to EBA. On the basis of information from each competent authority, EBA shall establish, maintain and publish a list of all the forms of capital instruments in each Member State that qualify as Common Equity Tier 1 instruments. EBA shall establish that list and publish it by 28 July 2013 for the first time. EBA may, after the review process set out in Article 80 and, where there is significant evidence of those instruments not meeting the criteria set out in Article 28 or, where applicable, Article 29, decide to remove non-State aid capital instruments issued after 28 June 2013 from the list and may make an announcement	Publication	Own Funds	List of eligible capital instruments	Ongoing	2
121	CRR	28	5	EBA shall develop draft regulatory technical standards to specify the following: (b) Whether and when multiple distributions would constitute a disproportionate drag on own funds; (c) the meaning of preferential distributions. EBA shall submit those draft regulatory technical standards to the Commission by 28 July 2013.	RTS	Own Funds	RTS on Own Funds Part 4	01/04/2014	1
122	CRR	31	2	Upon reasoned request by and in cooperation with the relevant competent authority, EBA shall consider the capital instruments referred to in paragraph 1 as equivalent to Common Equity Tier 1 instruments for the purposes of this Regulation.	Various	N/A	N/A	N/A	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
123	CRR	80	1	EBA shall monitor the quality of own funds instruments issued by institutions across the Union and shall notify the Commission immediately where there is significant evidence of those instruments not meeting the criteria set out in Article 28 or, where applicable, Article 29. Competent authorities shall, without delay, upon request by EBA, forward all information that EBA deems relevant concerning new capital instruments issued in order to enable EBA to monitor the quality of own funds instruments issued by institutions across the Union. A notification shall include the following: (a) a detailed explanation of the nature and extent of the shortfall identified; (b) technical advice on the action by the Commission that EBA considers to be necessary; (c) significant developments in the methodology of EBA for stress testing the solvency of institutions.	Notification	Own Funds	Own Funds Monitoring	Ongoing	2
124	CRR	80	3	EBA shall provide technical advice to the Commission on any significant changes it considers to be required to the definition of own funds as a result of any of the following: (a) relevant developments in market standards or practice; (b) changes in relevant legal or accounting standards; (c) significant developments in the methodology of EBA for stress testing the solvency of institutions.	Opinion/Consultation	Own Funds	Advice on the definition of Own Funds	Ongoing	2
125	CRR	89	4	For the purposes of point (b) of paragraph 1, EBA shall issue guidelines specifying the following concepts: (a) activities that are a direct extension of banking; (b) activities ancillary to banking; (c) similar activities. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	Qualifying holdings	GL on the definition of financial activities	TBD	2
126	CRR	97	4	EBA in consultation with ESMA shall develop draft regulatory technical standards to specify in greater detail the following: (a) the calculation of the requirement to hold eligible capital of at least one quarter of the fixed overheads of the previous year; (b) the conditions for the adjustment by the competent authority of the requirement to hold eligible capital of at least one quarter of the fixed overheads of the previous year; (c) the calculation of projected fixed overheads in the case of an investment firm that has not completed business for one year. EBA shall submit those draft regulatory technical standards to the Commission by 1 March 2014.	RTS	Fixed Overheads	RTS on own funds based on fixed overheads	01/03/2014	1
127	CRR	99	5	EBA shall develop draft implementing technical standards to specify the uniform formats, frequencies, dates of reporting, definitions and the IT solutions to be applied in the Union for the reporting referred to in paragraphs 1 to 4. The reporting requirements shall be proportionate to the nature, scale and complexity of the activities of the institutions. EBA shall submit those draft implementing technical standards to the Commission by 1 February 2015.	ITS	Reporting	ITS on reporting	01/02/2015	2
128	CRR	99	6	Where a competent authority considers that the financial information required by paragraph 2 is necessary to obtain a comprehensive view of the risk profile of the activities of, and a view of the systemic risks to the financial sector or the real economy posed by, institutions other than those referred to in paragraphs 2 and 3 that are subject to an accounting framework based on Directive 86/635/EEC, the competent authority shall consult EBA on the extension of the reporting requirements of financial information on a consolidated basis to those institutions, provided that they are not already reporting on such a basis.	Notification & ITS notification	Reporting	ITS on reporting	Ongoing	2
129	CRR	99	7	Where a competent authority considers information not covered by the implementing technical standards referred to in paragraph 5 to be necessary for the purposes set out in paragraph 4, it shall notify EBA and the ESRB about the additional information it deems necessary to include in the implementing technical standards referred to in paragraph 5.	Notification & ITS notification	Reporting	ITS on reporting	Ongoing	2
130	CRR	100	N/A	Institutions shall report to the competent authorities the level, at least in aggregate terms, of their repurchase agreements, securities lending and all forms of encumbrance of assets. EBA shall include this information in the implementing technical standards on reporting referred to in Article 99(5).	ITS	Reporting	Reporting on unencumbered assets	01/11/2013	1
131	CRR	101	4	EBA shall develop draft implementing technical standards to specify the following: (a) uniform formats, definitions, frequencies and dates of reporting, as well as the IT solutions, of the items referred to in paragraph 1; (b) uniform formats, definitions, frequencies and dates of reporting, as well as IT solutions, of the aggregate data referred to in paragraph 2. EBA shall submit those draft implementing technical standards to the Commission by 1 February 2015.	ITS	Reporting	ITS on reporting	01/02/2015	2
132	CRR	105	14	EBA shall develop draft regulatory technical standards to specify the conditions according to which the requirements of Article 105 shall be applied for the purposes of paragraph 1 of this Article. EBA shall submit those draft regulatory technical standards to the Commission by 28 July 2013.	RTS	Trading Book	RTS on prudent valuation adjustments	01/06/2014	1
133	CRR	115	2	EBA shall maintain a publicly available database of all regional governments and local authorities within the Union which relevant competent authorities treat as exposures to their central governments.	Publication	Credit Risk	Database of all regional governments and local authorities	N/A	2
134	CRR	124	2	The competent authorities shall consult EBA on the adjustments to the risk weights and criteria applied, which will be calculated in accordance with the criteria set out in this paragraph as specified by the regulatory technical standards referred to in paragraph 4 of this Article.	Opinion/Consultation	Standardised Approach	Risk weights for mortgage lending	N/A	2
135	CRR	124	2	EBA shall publish the risk weights and criteria that the competent authorities set for exposures referred to in Articles 125, 126 and 199.	Publication	Standardised Approach	List of risk weights	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
136	CRR	124	4	EBA shall develop draft regulatory technical standards to specify: (a) the rigorous criteria for the assessment of the mortgage lending value referred to in paragraph 1; (b) the conditions referred to in paragraph 2 that competent authorities shall take into account when determining higher risk-weights, in particular the term of "financial stability considerations". EBA shall submit these draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Standardised Approach	RTS on mortgage lending	31/12/2014	1
137	CRR	128	3	EBA shall issue guidelines specifying which types of exposures are associated with particularly high risk and under which circumstances. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	Standardised Approach	GL on exposures associated to high risks	TBD	2
138	CRR	129	1g	The competent authorities may, after consulting EBA, partly waive the application of point (c) of the first subparagraph and allow credit quality step 2 for up to 10 % of the total exposure of the nominal amount of outstanding covered bonds of the issuing institution, provided that significant potential concentration problems in the Member States concerned can be documented due to the application of the credit quality step 1 requirement referred to in that point.	Opinion/Consultation	Standardised Approach	N/A	31/12/2014	1
139	CRR	135	2	EBA shall publish the list of ECAIs in accordance with Article 2(4) and Article 18(3) of Regulation (EC) No 1060/2009 on its website.	Publication	ECAIs	List of eligible ECAIs	Ongoing	2
140	CRR	136	3	EBA, EIOPA and ESMA shall develop draft implementing technical standards to specify the quantitative factors referred to in point (a), the qualitative factors referred to in point (b) and the benchmark referred to in point (c) of paragraph 2. EBA, EIOPA and ESMA shall submit those draft implementing technical standards to the Commission by 1 July 2014.	ITS	ECAIs	ITS on mapping of external credit assessments for securitisation exposures	01/07/2014	1
141	CRR	136	1, 2	EBA, EIOPA and ESMA shall, through the Joint Committee, develop draft implementing technical standards to specify for all ECAIs, with which of the credit quality steps set out in Section 2 the relevant credit assessments of the ECAI correspond ('mapping'). Those determinations shall be objective and consistent. EBA, EIOPA and ESMA shall submit those draft implementing technical standards to the Commission by 1 July 2014 and shall submit revised draft implementing technical standards where necessary. When determining the mapping of credit assessments, EBA, EIOPA and ESMA shall comply with the following requirements: (a) in order to differentiate between the relative degrees of risk expressed by each credit assessment, EBA, EIOPA and ESMA shall consider quantitative factors such as the long-term default rate associated with all items assigned the same credit assessment. For recently established ECAIs and for those that have compiled only a short record of default data, EBA, EIOPA and ESMA shall ask the ECAI what it believes to be the long-term default rate associated with all items assigned the same credit assessment; (b) in order to differentiate between the relative degrees of risk expressed by each credit assessment, EBA, EIOPA and ESMA shall consider qualitative factors such as the pool of issuers that the ECAI covers, the range of credit assessments that the ECAI assigns, each credit assessment meaning and the ECAI's definition of default; (c) EBA, EIOPA and ESMA shall compare default rates experienced for each credit assessment of a particular ECAI and compare them with a benchmark built on the basis of default rates experienced by other ECAIs on a population of issuers that present an equivalent level of credit risk; (d) where the default rates experienced for the credit assessment of a particular ECAI are materially and systematically higher than the benchmark, EBA, EIOPA and ESMA shall assign a higher credit quality step in the credit quality assessment scale to the ECAI credit assessment; (e) where EBA, EIOPA and ESMA have increased the associated risk weight for a specific credit assessment of a particular ECAI, and where default rates experienced for that ECAI's credit assessment are no longer materially and systematically higher than the benchmark, EBA, EIOPA and ESMA may restore the original	ITS	ECAIs	ITS on mapping of external credit assessments for securitisation exposures	01/07/2014	1
142	CRR	138		An institution may nominate one or more ECAIs to be used for the determination of risk weights to be assigned to assets and off-balance sheet items. An institution may revoke its nomination of an ECAI. An institution shall substantiate the revocation if there are concrete indications that the intention underlying the revocation is to reduce the capital adequacy requirements. Credit assessments shall not be used selectively. An institution shall use solicited credit assessments. However it may use unsolicited credit assessments if EBA has confirmed that unsolicited credit assessments of an ECAI do not differ in quality from solicited credit assessments of this ECAI. EBA shall refuse or revoke this confirmation in particular if the ECAI has used an unsolicited credit assessment to put pressure on the rated entity to place an order for a credit assessment or other services. In using credit assessment, institutions shall comply with the following requirements:(...)	Opinion/Consultation	ECAIs	Use of unsolicited ratings	N/A	2
143	CRR	144	2	EBA shall develop draft regulatory technical standards to specify the assessment methodology competent authorities shall follow in assessing the compliance of an institution with the requirements to use the IRB Approach. EBA shall submit these draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation	31/12/2014	1
144	CRR	148	6	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities shall determine the appropriate nature and timing of the sequential roll out of the IRB Approach across exposure classes referred to in paragraph 3. EBA shall submit these draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on IRB model validation	31/12/2014	1
145	CRR	150	1	The competent authorities shall permit the application of Standardised Approach for equity exposures referred to in points (g) and (h) of the first subparagraph which have been permitted for that treatment in other Member States. EBA shall publish on its website and regularly update a list with the exposures referred to in those points (to be treated according to the Standardised Approach).	Publication	IRB	Publication of equity exposures	N/A	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
146	CRR	150	3	EBA shall develop draft regulatory technical standards to determine the conditions of application of points (a), (b) and (c) of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on permanent partial use IRB	31/12/2014	1
147	CRR	150	4	EBA shall issue guidelines on the application of point (d) of paragraph 1 in 2018, recommending limits in terms of a percentage of total balance sheet and/or risk weighted assets to be calculated in accordance with the Standardised Approach. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	IRB	Permanent partial use of SA for Sovereign exposures	N/A	2
148	CRR	152	5	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities may permit institutions to use the Standardised Approach referred to in Article 150(1) under point (b) of paragraph 2 of this Article. EBA shall submit those draft regulatory technical standards to the Commission by 30 June 2014.	RTS	CIU Exposures	Permanent partial use of SA for CIUs exposures	30/06/2014	1
149	CRR	153	9	EBA shall develop draft regulatory technical standards to specify how institutions shall take into account the factors referred to the second subparagraph of paragraph 5 when assigning risk weights to specialised lending exposures. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on risk weights for specialised lending exposures	31/12/2014	1
150	CRR	164	5	Competent authorities shall notify EBA of any changes to the minimum LGD values that they make in accordance with the first subparagraph and EBA shall publish these LGD values.	Notification	IRB	Minimum LGD	31/12/2014	1
151	CRR	164	6	EBA shall develop draft regulatory technical standards to specify the conditions that competent authorities shall take into account when determining higher minimum LGD values. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	Minimum LGD	31/12/2014	1
152	CRR	173	3	EBA shall develop draft regulatory technical standards for the methodologies of the competent authorities to assess the integrity of the assignment process and the regular and independent assessment of risks. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation	31/12/2014	1
153	CRR	178	6	EBA shall develop draft regulatory technical standards to specify the conditions according to which a competent authority shall set the threshold referred to in paragraph 2(d). EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	Definition of default - Thresholds for past due items	31/12/2014	1
154	CRR	178	7	EBA shall issue guidelines on the application of this Article. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	IRB	GL on default of an obligor	TBD	2
155	CRR	180	3a	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions according to which competent authorities may grant the permissions referred to in point (h) of paragraph 1 and point (e) of paragraph 2; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation (data waiver)	31/12/2014	1
156	CRR	180	3b	EBA shall develop draft regulatory technical standards to specify the following: (b) the methodologies according to which competent authorities shall assess the methodology of an institution for estimating PD pursuant to Article 143. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation	31/12/2014	1
157	CRR	181	3	EBA shall develop draft regulatory technical standards to specify the following: (a) the nature, severity and duration of an economic downturn referred to in paragraph 1; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on own downturn LGD	31/12/2014	1
158	CRR	181	3	EBA shall develop draft regulatory technical standards to specify the following: (b) the conditions according to which a competent authority may permit and institution pursuant to paragraph 3 to use relevant data covering a period of two years when the institution implements the IRB Approach. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation (data waiver)	31/12/2014	1
159	CRR	182	4	EBA shall develop draft regulatory technical standards to specify the following: (a) the nature, severity and duration of an economic downturn referred to in paragraph 1; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on own downturn LGD	31/12/2014	1
160	CRR	182	4	EBA shall develop draft regulatory technical standards to specify the following: (b) conditions according to which a competent authority may permit and institution to use relevant data covering a period of two years at the time an institution first implements the IRB Approach. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on PD estimation (data waiver)	31/12/2014	1
161	CRR	183	6	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities may permit conditional guarantees to be recognised. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	IRB	RTS on conditions for conditional guarantees	31/12/2014	1
162	CRR	194	10	EBA shall develop draft regulatory technical standards to specify what constitutes sufficiently liquid assets and when asset values can be considered as sufficiently stable for the purpose of paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 30 September 2014.	RTS	Risk Mitigation	liquid assets in CRM	30/09/2014	1
163	CRR	199	8	EBA shall disclose a list of types of physical collateral for which institutions can assume that the conditions referred to in points (a) and (b) of paragraph 6 are met.	Publication	Credit Risk Mitigation	List of types of physical collateral	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
164	CRR	221	9	EBA shall develop draft regulatory technical standards to specify the following: (a) what constitutes an immaterial portfolio for the purpose of paragraph 3; (b) the criteria for determining whether an internal model is sound and implemented with integrity for the purpose of paragraphs 4 and 5 and master netting agreements. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2015.	RTS	IRB	RTS on Immaterial Portfolios	31/12/2015	2
165	CRR	243	6	The competent authorities shall keep EBA informed about the specific cases, referred to in paragraph 2, where the possible reduction in risk-weighted exposure amounts is not justified by a commensurate transfer of credit risk to third parties, and the use institutions make of paragraph 4. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines. EBA shall review Member States' implementation of those guidelines and provide advice to the Commission by 31 December 2017 on whether a binding technical standard is required.	Guidelines	Securitisation	GL on significant risk transfer in traditional securitisation	31/12/2017	3
166	CRR	244	6	The competent authorities shall keep EBA informed about the specific cases, referred to in paragraph 2, where the possible reduction in risk-weighted exposure amounts is not justified by a commensurate transfer of credit risk to third parties, and the use institutions make of paragraph 4. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines. EBA shall review Member States' implementation of those guidelines and provide advice to the Commission by 31 December 2017 on whether a binding technical standard is required.	Guidelines	Securitisation	GL on significant risk transfer in synthetic securitisation	31/12/2017	3
167	CRR	248	2	EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines on what constitutes arm's length conditions and when a transaction is not structured to provide support.	Guidelines	Securitisation	GL on implicit support	TBD	2
168	CRR	262	3	The competent authorities shall keep EBA informed about the use institutions make of paragraph 2. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines.	Guidelines	Securitisation	GL on Supervisory Formula Method	TBD	2
169	CRR	270	1	EBA shall develop draft implementing technical standards to determine, for all ECAIs, which of the credit quality steps set out in this Chapter are associated with the relevant credit assessments of an ECAI. Those determinations shall be objective and consistent, and carried out in accordance with the following principles: (a) EBA shall differentiate between the relative degrees of risk expressed by each assessment; (b) EBA shall consider quantitative factors, such as default and/or loss rates and the historical performance of credit assessments of each ECAI across different asset classes; (c) EBA shall consider qualitative factors such as the range of transactions assessed by the ECAI, its methodology and the meaning of its credit assessments, in particular whether based on expected loss or first Euro loss, and to timely payment of interest or to ultimate payment of interest; (d) EBA shall seek to ensure that securitisation positions to which the same risk weight is applied on the basis of the credit assessments of ECAIs are subject to equivalent degrees of credit risk. EBA shall consider amending its determination as to the credit quality step with which a particular credit assessment shall be associated, as appropriate. EBA shall submit those draft implementing technical standards to the Commission by 1 July 2014.	ITS	ECAIs	ITS on mapping of external credit assessments for securitisation exposures	01/07/2014	1
170	CRR	292	10	EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines on the application of this Article.	Guidelines	Counterparty Credit Risk	GL on integrity of the modelling process	TBD	2
171	CRR	304	5	EBA shall develop draft regulatory technical standards to specify the margin periods of risk that institutions may use for the purposes of paragraphs 3 and 4. When developing those draft regulatory technical standards, EBA shall apply the following principles: (a) it shall define the margin period of risk for each of the types of contracts and transactions listed in Article 301(1); (b) the margin periods of risk to be defined in point (a) shall reflect the close-out period of the contracts and transactions referred to in that point. EBA shall submit those draft regulatory technical standards to the Commission by 30 June 2014.	RTS	CCP	Margin Periods Of Risk	30/06/2014	1
172	CRR	312	4	EBA shall develop draft regulatory technical standards to specify the following: (b) the conditions for assessing the materiality of extensions and changes to the Advanced Measurement Approaches; (c) the modalities of the notification required in paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Operational Risk	RTS on materiality of model changes and extensions	31/12/2014	1
173	CRR	312	4	EBA shall develop draft regulatory technical standards to specify the following: (a) the assessment methodology under which the competent authorities permit institutions to use Advanced Measurement Approaches; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Operational Risk	RTS on materiality of model changes and extensions	31/12/2014	1
174	CRR	314	5	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions that competent authorities shall use when assessing the methodology referred to in point (a) of paragraph 2; (b) the conditions that the competent authorities shall use when deciding whether to impose the additional conditions referred to in paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	RTS	Operational Risk	RTS on the combined use of different approaches	31/12/2016	3

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
175	CRR	315	3	Where an institution can prove to its competent authority that, due to a merger, an acquisition or a disposal of entities or activities, using a three year average to calculate the relevant indicator would lead to a biased estimation for the own funds requirement for operational risk, the competent authority may permit the institution to amend the calculation in a way that would take into account such events and shall duly inform EBA thereof. In such circumstances, the competent authority may, on its own initiative, also require an institution to amend the calculation.	Notification	Operational Risk	Notification on basic indicator approach	Ongoing	2
176	CRR	316	3	EBA shall develop draft regulatory technical standards to determine the methodology to calculate the relevant indicator referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2017.	RTS	Operational Risk	RTS on relevant indicator under accounting standards different from Dir 86/635	31/12/2017	3
177	CRR	317	4	Where an institution can prove to its competent authority that, due to a merger, an acquisition or a disposal of entities or activities, using a three year average to calculate the relevant indicator would lead to a biased estimation for the own funds requirement for operational risk, the competent authority may permit institutions to amend the calculation in a way that would take into account such events and shall duly inform EBA thereof. In such circumstances, the competent authority may, on its own initiative, also require an institution to amend the calculation.	Notification	Operational Risk	Notification on Standard Approach	Ongoing	2
178	CRR	318	3	EBA shall develop draft implementing technical standards to determine the conditions of application of the principles for business line mapping provided in this Article. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2017.	ITS	Operational Risk	ITS on principles for business line mapping	31/12/2017	3
179	CRR	327	2	No netting shall be allowed between a convertible and an offsetting position in the instrument underlying it, unless the competent authorities adopt an approach under which the likelihood of a particular convertible's being converted is taken into account or require an own funds requirement to cover any loss which conversion might entail. Such approaches or own funds requirements shall be notified to EBA. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines.	Guidelines	Market Risk	GL on netting	TBD	2
180	CRR	337	2	In accordance with Article 16 of Regulation (EU) No 1093/2010, EBA shall issue guidelines on the use of estimates of PD and LGD as inputs when those estimates are based on an IRC approach.	Guidelines	Market Risk	GL on the use of estimates of PD and LGD as inputs	TBD	2
181	CRR	340	3	Correction shall be made to the calculation of the modified duration for debt instruments which are subject to prepayment risk. EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines about how to apply such corrections.	Guidelines	Market Risk	GL on corrections to modified duration for debt instruments	TBD	2
182	CRR	341	3	EBA shall develop draft regulatory technical standards defining the term market referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 January 2014.	RTS	Market Risk	RTS on the definition of the term market	31/01/2014	1
183	CRR	363	4	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions for assessing materiality of extensions and changes to the use of internal models; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Market Risk	RTS on materiality of model changes and extensions	31/12/2014	1
184	CRR	363	4	EBA shall develop draft regulatory technical standards to specify the following: (b) the assessment methodology under which competent authorities permit institutions to use internal models; (c) the conditions under which the share of positions covered by the internal model within a risk category shall be considered significant as referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Market Risk	RTS on materiality of model changes and extensions	31/12/2014	1
185	CRR	365	2	In addition, the institution shall at least weekly calculate a 'stressed value-at-risk' of the current portfolio, in accordance with the requirements set out in the first paragraph, with value-at-risk model inputs calibrated to historical data from a continuous 12-month period of significant financial stress relevant to the institution's portfolio. The choice of such historical data shall be subject to at least annual review by the institution, which shall notify the outcome to the competent authorities. EBA shall monitor the range of practices for calculating stressed value at risk and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines on such practices.	Guidelines	Market Risk	GL on stressed VaR	TBD	2
186	CRR	372		EBA shall issue guidelines on the requirements in Articles 373 to 376.	Guidelines	Market Risk	GL on Incremental default and migration risk	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
187	CRR	377	5	With regard to the portfolio of all the positions incorporated in the model referred to in paragraph 1, the institution shall regularly apply a set of specific, predetermined stress scenarios. Such stress scenarios shall examine the effects of stress to default rates, recovery rates, credit spreads, basis risk, correlations and other relevant risk factors on the correlation trading portfolio. The institution shall apply stress scenarios at least weekly and report at least quarterly to the competent authorities the results, including comparisons with the institution's own funds requirement in accordance with this Article. Any instances where the stress test results materially exceed the own funds requirement for the correlation trading portfolio shall be reported to the competent authorities in a timely manner. EBA shall issue guidelines on the application of stress scenarios for the correlation trading portfolio.	Guidelines	Market Risk	GL on Stress in correlatioin trading portfolios	TBD	2
188	CRR	382	5	The following transactions shall be excluded from the own funds requirements for CVA risk: (a) transactions with non-financial counterparties as defined in point (9) of Article 2 of Regulation (EU) No 648/2012, or with non-financial counterparties established in a third country, where those transactions do not exceed the clearing threshold as specified in Article 10(3) and (4) of that Regulation; (b) intragroup transactions as provided for in Article 3 of Regulation (EU) No 648/2012 unless Member States adopt national laws requiring the structural separation within a banking group, in which case competent authorities may require those intragroup transactions between the structurally separated institutions to be included in the own funds requirements; (c) transactions with counterparties referred to in point (10) of Article 2 of Regulation (EU) No 648/2012 and subject to the transitional provisions set out in Article 89(1) of that Regulation until those transitional provisions cease to apply; (d) transactions with counterparties referred to in Article 1(4)(a) and (b) and Article 1(5)(a), (b) and (c) of Regulation (EU) No 648/2012 and transactions with counterparties for which Article 115 of this Regulation specifies a risk weight of 0 % for exposures to those counterparties. The exemption from the CVA charge for those transactions referred to in point (c) of this paragraph which are entered into during the transitional period laid down in Article 89(1) of Regulation (EU) No 648/2012 shall apply for the length of the contract of that transaction. EBA in cooperation with ESMA shall develop draft regulatory technical standards to specify the procedures for excluding transactions with non-financial counterparties established in a third country from the own funds requirements for CVA risk: The following transactions shall be excluded from the own funds requirements for CVA risk: (a) transactions with non-financial counterparties as defined in point (9) of Article 2 of Regulation (EU) No 648/2012, or with non-financial counterparties established in a third country, where those transactions do not exceed the clearing threshold as specified in Article 10(3) and (4) of that Regulation; (b) intragroup transactions as provided for in Article 3 of Regulation (EU) No 648/2012 unless Member States adopt national laws requiring the structural separation within a banking group, in which case competent authorities may require those intragroup transactions between the structurally separated institutions to be included in the own funds requirements; (c) transactions with counterparties referred to in point (10) of Article 2 of Regulation (EU) No 648/2012 and subject to the transitional provisions set out in Article 89(1) of that Regulation until those transitional provisions cease to apply; (d) transactions with counterparties referred to in Article 1(4)(a) and (b) and Article 1(5)(a), (b) and (c) of Regulation (EU) No 648/2012 and transactions with counterparties for which Article 115 of this Regulation specifies a risk weight of 0 % for exposures to those counterparties. The exemption from the CVA charge for those transactions referred to in point (c) of this paragraph which are entered into during the transitional period laid down in Article 89(1) of Regulation (EU) No 648/2012 shall apply for the length of the contract of that transaction. EBA in cooperation with ESMA shall develop draft regulatory technical standards to specify the procedures for excluding transactions with non-financial counterparties established in a third country from the own funds requirement for CVA risk. EBA shall submit those draft regulatory technical standards within six months of the date of the review	RTS	Market Risk	RTS on Exclusion of CVA for 3rd country NFC	TBD	2
189	CRR	382	5	The following transactions shall be excluded from the own funds requirements for CVA risk: (a) transactions with non-financial counterparties as defined in point (9) of Article 2 of Regulation (EU) No 648/2012, or with non-financial counterparties established in a third country, where those transactions do not exceed the clearing threshold as specified in Article 10(3) and (4) of that Regulation; (b) intragroup transactions as provided for in Article 3 of Regulation (EU) No 648/2012 unless Member States adopt national laws requiring the structural separation within a banking group, in which case competent authorities may require those intragroup transactions between the structurally separated institutions to be included in the own funds requirements; (c) transactions with counterparties referred to in point (10) of Article 2 of Regulation (EU) No 648/2012 and subject to the transitional provisions set out in Article 89(1) of that Regulation until those transitional provisions cease to apply; (d) transactions with counterparties referred to in Article 1(4)(a) and (b) and Article 1(5)(a), (b) and (c) of Regulation (EU) No 648/2012 and transactions with counterparties for which Article 115 of this Regulation specifies a risk weight of 0 % for exposures to those counterparties. The exemption from the CVA charge for those transactions referred to in point (c) of this paragraph which are entered into during the transitional period laid down in Article 89(1) of Regulation (EU) No 648/2012 shall apply for the length of the contract of that transaction. EBA in cooperation with ESMA shall develop draft regulatory technical standards to specify the procedures for excluding transactions with non-financial counterparties established in a third country from the own funds requirement for CVA risk. EBA shall submit those draft regulatory technical standards within six months of the date of the review	Review	Market Risk	Report on CVA Own Funds Requirements	TBD	2
190	CRR	383	5	the three-times multiplier used in the calculation of own funds requirements based on a Value-at-Risk and a stressed Value-at-Risk in accordance with 364(1) will apply to these calculations. EBA shall monitor for consistency any supervisory discretion used to apply a higher multiplier than that three-times multiplier to the Value-at-Risk and stressed Value-at-Risk inputs to the CVA charge. Competent authorities applying a multiplier higher than three shall provide a written justification to EBA;	Monitoring	CVA	Monitoring of CVA Multiplier	TBD	2
191	CRR	395	1	Competent authorities may set a lower limit than EUR 150 million and shall inform EBA and the Commission thereof.	Notification	Large Exposures	Notification on large exposures limits	Ongoing	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
192	CRR	395	2	EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, taking into account the effect of the credit risk mitigation in accordance with Articles 399 to 403 as well as the outcomes of developments in the area of shadow banking and large exposures at the Union and international levels, issue guidelines by 31 December 2014 to set appropriate aggregate limits to such exposures or tighter individual limits on exposures to shadow banking entities which carry out banking activities outside a regulated framework. In developing those guidelines, EBA shall consider whether the introduction of additional limits would have a material detrimental impact on the risk profile of institutions established in the Union, on the provision of credit to the real economy or on the stability and orderly functioning of financial markets. By 31 December 2015 the Commission shall assess the appropriateness and the impact of imposing limits on exposures to shadow banking entities which carry out banking activities outside a regulated framework, taking into account Union and international developments in the area of shadow banking and large exposures as well as credit risk mitigation in accordance with Articles 399 to 403. The Commission shall submit the report to the European Parliament and the Council, together, if appropriate, with a legislative proposal on exposure limits to shadow banking entities which carry out banking activities outside a regulated framework.	Guidelines	Structural measures	GL on limits to exposures to shadow banking entities	31/12/2014	1
193	CRR	395	7	Before adopting the specific structural measures as referred to in paragraph 6 relating to large exposures, the competent authorities shall notify the Council, the Commission, the competent authorities concerned and EBA at least two months prior to the publication of the decision to adopt the structural measures, and submit relevant quantitative or qualitative evidence of all of the following: (a) the scope of the activities that are subject to the structural measures; (b) an explanation as to why such draft measures are deemed to be suitable, effective and proportionate to protect depositors; (c) an assessment of the likely positive or negative impact of the measures on the internal market based on information which is available to the Member State.	Notification	Large Exposures	Notification on limits to exposures to shadow banking entities	Ongoing	2
194	CRR	395	8	Within one month of receiving the notification referred to in paragraph 7, EBA shall provide its opinion on the points mentioned in that paragraph to the Council, the Commission and the Member State concerned. Competent authorities concerned may also provide their opinions on the points mentioned in that paragraph to the Council, the Commission and the Member State concerned. The assessment of the Commission shall take account of the opinion of EBA and shall take into account the evidence presented in accordance with paragraph 7. Before the expiry of the measures, the competent authorities may propose new measures for the extension of the period of application for an additional period of 2 years each time. In this case, they shall notify the Commission, the Council, the competent authorities concerned and EBA. Approval of the new measures shall be subject to the process set out in this Article. This Article shall be without prejudice to Article 458.	Opinion/Consultation	Large Exposures	Opinion on limits to exposures to shadow banking entities	N/A	2
195	CRR	400	3	Competent authorities shall inform EBA whether or not they intend to use any of the exemptions provided for in paragraph 2 in accordance with points (a) and (b) of this paragraph and shall consult EBA on this choice.	Notification	Large Exposures	N/A	Ongoing	2
196	CRR	410	1	EBA shall report to the Commission annually on measures taken by the competent authorities in order to ensure the compliance with the requirements of Titles II and III by institutions.	Report	Securitisation	Report on securitisation retention requirement	Ongoing	2
197	CRR	416	5	EBA shall develop draft implementing technical standards listing the currencies which meet the conditions referred to in the third subparagraph of paragraph 3. EBA shall submit those draft implementing technical standards to the Commission by 31 March 2014.	ITS	Liquidity risk	ITS on currencies with narrow CB eligibility	31/03/2014	1
198	CRR	419	1	EBA shall assess the availability for institutions of the liquid assets referred to in point (b) of Article 416(1) in the currencies that are relevant for institutions established in the Union.	ITS	Liquidity risk	ITS on currencies with narrow CB eligibility	31/03/2014	2
199	CRR	419	4	EBA shall develop draft implementing technical standards listing the currencies which meet the conditions set out in this Article. EBA shall submit those draft implementing technical standards to the Commission by 31 March 2014.	ITS	Liquidity risk	ITS on currencies with insufficiency of liquid assets	31/03/2014	1
200	CRR	419	5	EBA shall develop draft regulatory technical standards to specify the derogations referred to in paragraph 2 including the conditions of their application. EBA shall submit those draft regulatory technical standards to the Commission by 31 March 2014.	RTS	Liquidity risk	RTS on the use of derogations for currencies with insufficient liquid assets	31/03/2014	1
201	CRR	420	2	The competent authorities shall at least annually report to EBA the types of products or services for which they have determined outflows on the basis of the reports from institutions. They shall in that report also explain the methodology applied to determine the outflows.	Notification	Liquidity risk	Notification on liquidity outflows	Ongoing	2
202	CRR	422	9, 10	Competent authorities may waive the conditions set out in point (d) of paragraph 8 where point (b) of Article 20(1) is applied. In that case additional objective criteria as set out in the delegated act referred to in Article 460 have to be met. Where such lower outflow is permitted to be applied, the competent authorities shall inform EBA about the result of the process referred to in point (b) of Article 20(1). The fulfilment of the conditions for such lower outflows shall be regularly reviewed by the competent authorities. 10. EBA shall develop draft regulatory technical standards to further specify the additional objective criteria referred to in paragraph 9. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2015.	RTS	Liquidity risk	RTS on criteria for intragroup outflows	01/01/2015	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
203	CRR	423	3	The institution shall add an additional outflow corresponding to collateral needs that would result from the impact of an adverse market scenario on the institution's derivatives transactions, financing transactions and other contracts if material. EBA shall develop draft regulatory technical standards to determine the conditions of application in relation to the notion of materiality and methods for the measurement of this additional outflow. EBA shall submit those draft regulatory technical standards to the Commission by 31 March 2014.	RTS	Liquidity risk	RTS on additional collateral outflows on derivatives contracts	31/03/2014	1
204	CRR	425	5, 6	Competent authorities may waive the condition set out in point (d) of paragraph 4 where Article 20(1)(b) is applied. In that case additional objective criteria as set out in the delegated act referred to in Article 460 have to be met. Where such higher inflow is permitted to be applied, the competent authorities shall inform EBA about the result of the process referred to in Article 20(1)(b). Fulfilment of the conditions for such higher inflows shall be regularly reviewed by the competent authorities. 6. EBA shall develop draft regulatory technical standards to further specify the additional objective criteria referred to in paragraph 5. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2015.	RTS	Liquidity risk	RTS on criteria for intragroup inflows	01/01/2015	2
205	CRR	426		Following, the adoption by the Commission of a delegated act to specify the liquidity requirement in accordance with Article 460, EBA may develop draft implementing technical standards to specify the conditions set out in Article 421(1), Article 422, with the exception of paragraphs 8, 9 and 10 of that Article, and Article 424 to take account of standards agreed internationally.	ITS	Liquidity risk	ITS on specific outflow conditions	N/A	2
206	CRR	430	1	Competent authorities shall submit the information received from institutions to EBA upon its request to facilitate the review referred to in Article 511.	Notification	Leverage ratio	N/A	Ongoing	2
207	CRR	432	1	EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines by 31 December 2014 on how institutions have to apply materiality in relation to the disclosure requirements of Title II.	Guidelines	Disclosure	GL on materiality	31/12/2014	1
208	CRR	432	2	EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines by 31 December 2014 on how institutions have to apply proprietary and confidentiality in relation to the disclosure requirements of Titles II and III.	Guidelines	Disclosure	GL on confidentiality and disclosure	31/12/2014	1
209	CRR	433	N/A	EBA shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines by 31 December 2014 on institutions assessing more frequent disclosures of Titles II and III.	Guidelines	Disclosure	GL on more frequent disclosure	31/12/2014	1
210	CRR	440	2	EBA shall develop draft regulatory technical standards specifying the disclosure requirements set out in paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	RTS	Macro-prudential measures	RTS on countercyclical buffer disclosures	31/12/2014	1
211	CRR	441	2	EBA shall develop draft implementing technical standards to specify the uniform formats and date for the purposes of the disclosure referred to in paragraph 1. In developing those technical standards, EBA shall take into account international standards. EBA shall submit those draft implementing technical standards to the Commission by 1 July 2014.	ITS	G-SIIs	ITS on disclosing the values of indicators by G-SIIs	01/07/2014	1
212	CRR	443	N/A	EBA shall develop draft regulatory technical standards to specify disclosure of the balance sheet value per exposure class broken down by asset quality and the total amount of the balance sheet value that is unencumbered, taking into account Recommendation ESRB/2012/2 and conditional on EBA considering in its report that such additional disclosure offers reliable and meaningful information. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2016.	RTS	Unencumbered assets	RTS on disclosures of unencumbered asset	01/01/2016	3
213	CRR	443	N/A	EBA shall issue guidelines specifying the disclosure of unencumbered assets, taking into account Recommendation ESRB/2012/2 of the European Systemic Risk Board of 20 December 2012 on funding of credit institutions (1) and in particular Recommendation D - Market transparency on asset encumbrance, by 30 June 2014. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	Unencumbered assets	GL on disclosures of unencumbered asset	30/06/2014	1
214	CRR	451	2	EBA shall develop draft implementing technical standards to determine the uniform disclosure template for the disclosure referred to in paragraph 1 and the instructions on how to use such template. EBA shall submit those draft implementing technical standards to the Commission by 30 June 2014.	ITS	Leverage ratio	ITS on disclosure template for leverage ratio	30/06/2014	1
215	CRR	456	2	EBA shall monitor the own fund requirements for credit valuation adjustment risk and by 1 January 2015 submit a report to the Commission. In particular, the report shall assess: (a) the treatment of CVA risk as a stand-alone charge versus an integrated component of the market risk framework; (b) the scope of the CVA risk charge including the exemption in Article 482; (c) eligible hedges; (d) calculation of capital requirements of CVA risk On the basis of that report and where the findings are that such action is necessary the Commission shall also be empowered to adopt a delegated act in accordance with Article 462 to amend Article 381, Article 382(1) to (3) and Articles 383 to 386 concerning those items.	Report	CVA	Report on capital requirement to CVA	01/01/2015	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
216	CRR	458	2	Where the authority determined in accordance with paragraph 1 identifies changes in the intensity of macroprudential or systemic risk in the financial system with the potential to have serious negative consequences to the financial system and the real economy in a specific Member State and which that authority considers would better be addressed by means of stricter national measures, it shall notify the European Parliament, the Council, the Commission, the ESRB and EBA of that fact and submit relevant quantitative or qualitative evidence of all of the following: (...)	Notification	Macro-prudential measures	Notification on national macro-prudential measures	Ongoing	2
217	CRR	458	4	Within one month of receiving the notification referred to in paragraph 2, the ESRB and EBA shall provide their opinions on the points mentioned in that paragraph to the Council, the Commission and the Member State concerned. The assessment of the Council shall take into account the opinion of the ESRB and EBA and shall be based on the evidence presented in accordance with paragraph 2 by the authority determined in accordance with paragraph 1.	Opinion/Consultation	Macro-prudential measures	N/A	N/A	2
218	CRR	458	6	Where Member States recognise the measures set in accordance with this Article, they shall notify the Council, the Commission, EBA, the ESRB and the Member State authorised to apply the measures.	Notification	Macro-prudential measures	Notification on national macro-prudential measures	Ongoing	2
219	CRR	458	9	Before the expiry of the authorisation issued in accordance with paragraph 4, the Member State shall, in consultation with the ESRB and EBA, review the situation and may adopt, in accordance with the procedure referred to in paragraph 4, a new decision for the extension of the period of application of national measures for one additional year each time. After the first extension, the Commission shall in consultation with the ESRB and EBA review the situation at least annually.	Opinion/Consultation	Macro-prudential measures	N/A	N/A	2
220	CRR	459		The Commission shall be empowered to adopt delegated acts in accordance with Article 462, to impose, for a period of one year, stricter prudential requirements for exposures where this is necessary to address changes in the intensity of microprudential and macroprudential risks which arise from market developments in the Union or outside the Union affecting all Member States, and where the instruments of this Regulation and Directive 2013/36/EU are not sufficient to address these risks, in particular upon the recommendation or opinion of the ESRB or EBA, concerning: (...)	Opinion/Consultation	Macro-prudential measures	N/A	31/12/2015	2
221	CRR	461	1	EBA shall, after consulting the ESRB, by 30 June 2016 report to the Commission on whether the phase-in of the liquidity coverage requirement as specified in Article 460(2) should be amended. Such analysis shall take due account of market and international regulatory developments as well as Union specificities. EBA shall in its report assess in particular a deferred introduction of the 100 % minimum binding standard, until 1 January 2019. The report shall take into account the annual reports referred to in Article 509(1), relevant market data and the recommendations of all competent authorities.	Report	Liquidity risk	Report on phase-in of LCR	30/06/2016	3
222	CRR	495	1	Competent authorities shall notify the Commission and EBA of the implementation of this paragraph.	Notification	IRB	Grandfathering of SA approach for equity exposures	Ongoing	2
223	CRR	495	3	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities shall afford the exemption referred to in paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 30 June 2014.	RTS	IRB	Grandfathering of SA approach for equity exposures	30/06/2014	1
224	CRR	500	5	The competent authorities may, after having consulted EBA, waive the application of point (b) of paragraph 1 to institutions provided that all the requirements for the Internal Ratings Based Approach set out in Part Three, Title II, Chapter 3, Section 6 or the qualifying criteria for the use of the Advanced Measurement Approach set out in Part Three, Title III, Chapter 4, as applicable, are met.	Notification	Basel I floor	N/A	Ongoing	2
225	CRR	501	5	For the purpose of paragraph 4, EBA shall report the following to the Commission:(a) analysis of the evolution of the lending trends and conditions for SMEs over the period referred to in paragraph 4; (b) analysis of effective riskiness of Union SMEs over a full economic cycle; (c) the consistency of own funds requirements laid down in this Regulation for credit risk on exposures to SMEs with the outcomes of the analysis under points (a) and (b).	Report	SME	N/A	01/07/2015	2
226	CRR	503	1	The Commission shall, by 31 December 2014, after consulting EBA, report to the European Parliament and to the Council, together with any appropriate proposals, on whether the risk weights laid down in Article 129 and the own funds requirements for specific risk in Article 336(3) are adequate for all the instruments that qualify for these treatments and whether the criteria in Article 129 are appropriate.	Opinion/Consultation	Covered bonds	Report on Covered Bonds	31/12/2014	1
227	CRR	503	2	The report and the proposals referred to in paragraph 1 shall have regard to: (a) the extent to which the current regulatory capital requirements applicable to covered bonds adequately differentiate between variances in the credit quality of covered bonds and the collateral against which they are secured, including the extent of variations across Member States; (b) the transparency of the covered bond market and the extent to which this facilitates comprehensive internal analysis by investors in respect of the credit risk of covered bonds and the collateral against which they are secured and the asset segregation in case of the issuer's insolvency, including the mitigating effects of the underlying strict national legal framework in accordance with Article 129 of this Regulation and Article 52(4) of Directive 2009/65/EC on the overall credit quality of a covered bond and its implications on the level of transparency needed by investors; and	Report	Covered bonds	Report on Covered Bonds	31/12/2014	1

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
228	CRR	503	3	The Commission shall, by 31 December 2014, after consulting EBA, report to the European Parliament and the Council on whether loans secured by aircrafts (aircraft liens) and whether residential loans secured by a guarantee, but not secured by a registered mortgage, should under certain conditions be considered as an eligible asset in accordance with Article 129.	Opinion/Consultation	Covered bonds	Report on aircraft liens	31/12/2014	1
229	CRR	504		The Commission shall, by 31 December 2016, after consulting EBA, report to the European Parliament and the Council, together with any appropriate proposals, whether the treatment set out in Article 31 needs to be amended or removed.	Report	Own Funds	CET1 disqualification	31/12/2016	3
230	CRR	506	1	EBA shall, by 31 December 2017, report to the Commission on how replacing 90 days by 180 days past due, as provided in point (b) of Article 178(1), impacts risk-weighted exposure amounts and the appropriateness of the continued application of that provision after 31 December 2019.	Report	IRB	Definition of default	31/12/2019	3
231	CRR	508	2	By 31 December 2015, the Commission shall report on whether and how the liquidity coverage requirement laid down in Part Six should apply to investment firms and shall, after consulting EBA, submit that report to the European Parliament and to the Council, together with a legislative proposal if appropriate.	Opinion/Consultation	Liquidity risk	LCR	31/12/2015	2
232	CRR	508	3	By 31 December 2015, the Commission shall, after consulting EBA and ESMA and in the light of discussions with the competent authorities, report to the European Parliament and to the Council on an appropriate regime for the prudential supervision of investment firms and of firms referred to in points (2)(b) and (c) of Article 4(1). Where appropriate the report shall be followed by a legislative proposal.	Opinion/Consultation	Liquidity risk	N/A	31/12/2015	2
233	CRR	509	5	By 31 January 2014, EBA shall furthermore report on the following: (a) uniform definitions of high and extremely high liquidity and credit quality; (b) the possible unintended consequences of the definition of liquid assets on the conduct of monetary policy operation and the extent to which: (i) a list of liquid assets that is disconnected from the list of central bank eligible assets may incentivise institutions to submit eligible assets which are not included in the definition of liquid assets in refinancing operations; (ii) regulation of liquidity may disincentivise institutions to lend or borrow on the unsecured money market and whether this may lead to question the targeting of EONIA in monetary policy implementation; (iii) the introduction of the liquidity coverage requirement may make it more difficult for central banks to	Report	Liquidity risk	Report on HQLA	31/01/2014	1
234	CRR	509	1, 2	EBA shall monitor and evaluate the reports made in accordance with Article 415(1), across currencies and across different business models. EBA shall, after consulting the ESRB, non-financial end-users, the banking industry, competent authorities and the ESCB central banks annually and for the first time by 31 December 2013 report to the Commission on whether a specification of the general liquidity coverage requirement in Part Six based on the items to be reported in accordance with Part Six, Title II and Annex III, considered either individually or cumulatively, is likely to have a material detrimental impact on the business and risk profile of institutions established in the Union or on the stability and orderly functioning of financial markets or on the economy and the stability of the supply of bank lending, with a particular focus on lending to SMEs and on trade financing, including lending under official export credit insurance schemes. The report referred to in the first subparagraph shall take due account of markets and international regulatory developments as well as of the interactions of the liquidity coverage requirement with other prudential requirements under this Regulation such as the risk based capital ratios as set out in Article 92 and the leverage ratio. The European Parliament and the Council shall be given the opportunity to state their views on the report referred to in the first subparagraph. EBA shall in the report referred to in paragraph 1 assess the following in particular: (a) the provision of mechanisms restricting the value of liquidity inflows, in particular with a view to determining an appropriate inflow cap and the conditions for its application, taking into account different business models including pass through financing, factoring, leasing, covered bonds, mortgages, issuance of covered bonds, and the extent to which that cap should be amended or removed to cater for the specificities of specialised financing; (b) the calibration of inflows and outflows referred to in Part Six, Title II, in particular	Report	Liquidity risk	Annual report on impact of LCR	31/12/2014	1
235	CRR	510	1	By 31 December 2015, EBA shall report to the Commission, on the basis of the items to be reported in accordance with Part Six, Title III, on whether and how it would be appropriate to ensure that institutions use stable sources of funding, including an assessment of the impact on the business and risk profile of institutions established in the Union or on financial markets or the economy and bank lending, with a particular focus on lending to SMEs and on trade financing, including lending under official export credit insurance schemes and pass through financing models, including match funded mortgage lending. In particular EBA shall analyse the impact of stable sources of funding on the refinancing structures of different banking models in the Union.	Report	Liquidity risk	Report on NSFRR impact	31/12/2015	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
236	CRR	510	2	By 31 December 2015, EBA shall also report to the Commission, on the basis of the items to be reported in accordance with Part Six, Title III and in accordance with the uniform reporting formats referred to in point (a) of Article 415(3) and after consulting the ESRB, on methodologies for determining the amount of stable funding available to and required by institutions and on appropriate uniform definitions for calculating such a net stable funding requirement, examining in particular the following: (a) the categories and weightings applied to sources of stable funding in Article 427(1); (b) the categories and weightings applied to determine the requirement for stable funding in Article 428(1); (c) methodologies shall provide incentives and disincentives as appropriate to encourage a more stable longer term funding of assets, business activities, investment and funding of institutions; (d) the need to develop different methodologies for different types of institutions.	Report	Liquidity risk	Report on NSFR methodologies	31/12/2015	2
237	CRR	511	3	For the purposes of paragraph 1, EBA shall report to the Commission by 31 October 2016 on at least the following: (a) whether the leverage ratio framework provided by this Regulation and Articles 87 and 98 of Directive 2013/36/EU is the appropriate tool to suppress the risk of excessive leverage on the part of the institutions in a satisfactory manner and degree; (b...)	Report	Leverage ratio	Report on leverage	31/10/2016	3
238	CRR	513	1	By 30 June 2014, the Commission shall, after consulting the ESRB and EBA, review whether the macroprudential rules contained in this Regulation and Directive 2013/36/EU are sufficient to mitigate systemic risks in sectors, regions and Member States including assessing: (a) whether the current macroprudential tools in this Regulation and Directive 2013/36/EU are effective, efficient and transparent; (b) whether the coverage and the possible degrees of overlap between different macroprudential tools for targeting similar risks in this Regulation and Directive 2013/36/EU are adequate and, if appropriate, propose new macroprudential rules; (c) how internationally agreed standards for systemic institutions interacts with the provisions in this Regulation and Directive 2013/36/EU and, if appropriate, propose new rules taking into account those	Opinion/Consultation	Macro-prudential measures	Opinion on functioning of macroprudential framework	30/06/2014	1
239	CRR	513	2	By 31 December 2014, the Commission shall, on the basis of the consultation with the ESRB and EBA, report to the European Parliament and the Council on the assessment referred to in paragraph 1 and, where appropriate, submit a legislative proposal to the European Parliament and the Council.	Opinion/Consultation	Macro-prudential measures	Opinion on functioning of macroprudential framework	31/12/2014	1
240	CRR	515	1	EBA, together with ESMA, shall by 28 June 2014 report on the functioning of this Regulation with the related obligations under Regulation (EU) No 648/2012 and in particular with regard to institutions operating a central counterparty, in order to avoid duplication of requirements for derivative transactions and thereby avoid increased regulatory risk and increased costs for monitoring by competent authorities.	Report	CRR/EMIR in CCP	Monitoring and evaluation to avoid duplication of requirements for derivatives	28/06/2014	1
241	CRR	515	2	EBA shall monitor and evaluate the operation of the provisions for own funds requirements for exposures to a central counterparty as set out in Section 9 of Chapter 6 of Title II of Part Three. By 1 January 2015 EBA shall report to the Commission on the impact and effectiveness of such provisions.	Report	CRR/EMIR in CCP	Monitoring and evaluation to avoid duplication of requirements for derivatives	01/01/2015	2
242	CRR	519		EBA shall by 30 June 2014 prepare a report on whether the revised IAS 19 in conjunction with the deduction of net pension assets as set out in Article 36(1)(e) and changes in the net pension liabilities lead to undue volatility of institutions' own funds. Taking into account the EBA report, the Commission shall by 31 December 2014 prepare a report to the European Parliament and the Council on the issue referred to in the first paragraph, together with a legislative proposal, if appropriate, to introduce a treatment which adjusts defined net benefit pension fund assets or liabilities for the calculation of own funds.	Report	Own Funds	Own Funds deduction of net pensions/assets	30/06/2014	1
243	EMIR	11	15	In order to ensure consistent application of this Article, the ESAs shall develop common draft regulatory technical standards specifying: (a) the risk-management procedures, including the levels and type of collateral and segregation arrangements, required for compliance with paragraph 3; (b) the level of capital required for compliance with paragraph 4; (c) the procedures for the counterparties and the relevant competent authorities to be followed when applying exemptions under paragraphs 6 to 10; (d) the applicable criteria referred to in paragraphs 5 to 10 including in particular what should be considered as practical or legal impediment to the prompt transfer of own funds and repayment of liabilities between the counterparties. The ESAs shall submit those common draft regulatory technical standards to the Commission by 30 September 2012.	RTS	OTC derivatives	RTS on risk-mitigation techniques for OTC derivative contracts not cleared by a CCP	TBD	2
244	EMIR	11	15	In order to ensure consistent application of this Article, the ESAs shall develop common draft regulatory technical standards specifying: (a) the risk-management procedures, including the levels and type of collateral and segregation arrangements, required for compliance with paragraph 3; (b) the level of capital required for compliance with paragraph 4; (c) the procedures for the counterparties and the relevant competent authorities to be followed when applying exemptions under paragraphs 6 to 10; (d) the applicable criteria referred to in paragraphs 5 to 10 including in particular what should be considered as practical or legal impediment to the prompt transfer of own funds and repayment of liabilities between the counterparties. The ESAs shall submit those common draft regulatory technical standards to the Commission by 30 September 2012.	RTS	OTC derivatives	RTS on risk-mitigation techniques for OTC derivative contracts not cleared by a CCP	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
245	RRD	4	3	Competent authorities and resolution authorities shall inform EBA of the way they have applied paragraphs 1, 1a, 4 and 5 of Article 4 to institutions in their jurisdiction. EBA shall report to the Commission by 1 January 2018 at the latest on the implementation of paragraphs 1, 1a, 4 and 5 of Article 4. In particular EBA shall report to the Commission whether there are divergences regarding the implementation at national level of paragraphs 1, 1a, 4 and 5 of Article 4.	Report	Recovery and Resolution Planning	Simplified obligations for certain institutions	01/01/2018	3
246	RRD	4	6	EBA shall develop draft implementing technical standards to specify uniform formats, templates and definitions for the identification and transmission of information by competent authorities and resolution authorities to EBA for the purpose of paragraph 3 of Article 4.	ITS	Recovery and Resolution Planning	Simplified obligations for certain institutions	TBD	2
247	RRD	5	6	EBA, in close cooperation with the European Systemic Risk Board (ESRB), shall develop guidelines further specifying the range of scenarios to be used for the purposes of paragraph 5 of Article 5.	Guidelines	Recovery plans	Scenarios which shall be included into recovery plans	TBD	1
248	RRD	5	7	EBA shall develop guidelines further specifying the information to be contained in the recovery plan referred to in paragraph 4 of Article 5 of RRD.	Guidelines	Recovery plans	Information to be contained in the recovery plan	TBD	1
249	RRD	6	5	EBA shall develop guidelines specifying minimum criteria that the competent authority shall apply for the purposes of the assessment provided for in 6(2)Article and Article 8(1).	Guidelines	Recovery plans	Assessment of the extent of recovery plan by competent authority	TBD	1
250	RRD	9	5	EBA, in consultation with the ESRB, shall develop draft regulatory technical standards specifying a range of scenarios for the event of failure for the purposes of paragraph 2 (i.e. Identifying idiosyncratic and systemic factors leading to resolution).	RTS	Resolution plans	Specifying a range of scenarios	TBD	2
251	RRD	10	3	EBA shall develop draft implementing technical standards on standard forms, templates and procedures for provision of information necessary to draw up and implement resolution plans.	ITS	Resolution plans	Provision of information necessary to draw up resolution plans	TBD	2
252	RRD	13	3	EBA, in consultation with ESRB, shall develop draft regulatory technical standards to specify the matters to be examined for the assessment of the resolvability of institutions or groups provided for in para. 2 of the Art. 13. According to para. 2 resolution authorities shall for the purposes of the assessment of resolvability, as a minimum, examine the matters specified in Section C of the Annex.	RTS	Recovery and Resolution Planning	Assessment of resolvability	TBD	1
253	RRD	14	8	EBA shall develop guidelines specifying further details on the alternative measures that may achieve the removal of potential substantive impediments to the resolvability of an institution, provided for in paragraph 4 of Article 14 and the circumstances in which each measure may be applied.	Guidelines	Recovery and Resolution Planning	Identification of alternative measures to remove potential substantive impediments to the resolvability	TBD	1
254	RRD	19	1	EBA shall issue guidelines to promote convergence in practices to specify the conditions set out in paragraph 1 of Article 19 (i.e. conditions for group financial support).	Guidelines	Recovery and Resolution Planning	Group financial support agreement	TBD	2
255	RRD	22	2	EBA shall develop draft implementing technical standards concerning the form and content of the description of the general terms of the agreement and the names of the group entities that are party to it.	ITS	Recovery and Resolution Planning	Group financial support agreement	TBD	2
256	RRD	23	2	EBA shall develop guidelines in order to promote consistent application of the trigger for use of the early intervention measures provided for in Article 23 (1).	Guidelines	Early intervention measures	Early intervention measures	TBD	1
257	RRD	27	4	EBA shall issue guidelines to promote the convergence of supervisory and resolution practices regarding the interpretation of the different circumstances when an institution shall be considered as failing or likely to fail.	Guidelines	Resolution	Conditions for resolution	TBD	1
258	RRD	30	7	EBA shall develop draft regulatory technical standards to specify the following criteria for the purposes of paragraphs 1 and 2 of Article 30, and for the purposes of Article 66: (a) the circumstances in which a person is independent from both the resolution authority and the institution or entity referred to in points (b), (c) or (d) of Article 1; (c) the methodology for assessing the value of the assets and liabilities of the institution or entity referred to in points (b), (c) or (d) of Article 1; (d) the methodology for calculating and including a buffer for additional losses in the provisional valuation.	Guidelines	Resolution	Valuation of assets and liabilities	TBD	1
259	RRD	33	4	EBA shall develop draft regulatory technical standards to specify the factual circumstances amounting to a material threat and the elements related to the effectiveness of the sale of business tool provided for in points (a) and (b) of para. 3 of Art. 33. According to para. 3 resolution authorities may apply the sale of business tool without complying with the marketing requirements set out in paragraph 1 when they determine that compliance with those requirements would be likely to undermine one or more of the resolution objectives and in particular if the following conditions are met: (a) the resolution authority considers that there is a material threat to financial stability arising from or aggravated by the failure of the institution under resolution; and (b) compliance with those requirements would be likely to undermine the effectiveness of the sale of business tool in addressing that threat or achieving the resolution objective specified in point (b) of Article 26(2).	RTS	Resolution	Sale of business tool	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
260	RRD	36	11	EBA shall develop guidelines, in accordance with Article 16 of the EBA Regulation to promote the convergence of supervisory and resolution practices regarding the determination when, in accordance to paragraph 4 of this Article the liquidation of the assets or liabilities under normal insolvency proceeding could have an adverse effect on the financial market .	Guidelines	Resolution	Asset separation tool	TBD	2
261	RRD	39	6	Resolution authorities shall inform EBA of the minimum amount they have determined for each institution under their jurisdiction. EBA shall report to the Commission by 1 January 2018 at the latest on the implementation of the requirement under paragraph 1. In particular EBA shall report to the Commission whether there are divergences regarding the implementation at national level of that requirement.	Report	Resolution	Minimum requirements for own funds and eligible liabilities	01/01/2018	3
262	RRD	39	6	Resolution authorities, in coordination with competent authorities, shall inform EBA of the minimum requirement for own funds and eligible liabilities, and where relevant the requirement provided for in paragraph 4e of Article 39, that have been set for each institution under their jurisdiction.	Notification	Resolution	Minimum requirements for own funds and eligible liabilities	Ongoing	1
263	RRD	39	6a	EBA shall develop draft implementing technical standards to specify uniform formats, templates and definitions for the identification and transmission of information by resolution authorities, in coordination with competent authorities, to EBA for the purpose of paragraph 6.	ITS	Resolution	Minimum requirements for own funds and eligible liabilities	TBD	1
264	RRD	39	6c-6d	EBA shall report to the Commission on at least the following: (a) how the minimum requirement for own funds and eligible liabilities has been implemented at national level, and in particular whether there have been divergences in the levels set for comparable institutions across MSs; (b) how the power to require institutions to meet the minimum requirement through contractual bail-in instruments has been applied across MSs, and whether there have been divergences in approaches; (c) the identification of business models that reflect the overall risk profiles of the institutions; (d) the appropriate level of the minimum requirement for each of the business models identified in accordance with point (c); (e) whether a range for the minimum requirement of each business model should be defined; (f) the appropriate transition period within which institutions must achieve compliance with any harmonised minimum levels prescribed; (g) whether the requirements laid out in Article 39 are sufficient to ensure that each institution has adequate loss absorbing capacity and, if not, which further enhancements are needed in order to ensure this objective; (h) whether changes to the calculation methodology provided for in this Article are necessary to ensure that the minimum requirement can be used as an appropriate indicator of an institution's loss absorbing capacity; (i) whether it is appropriate to base the requirement on total liabilities plus own funds less derivatives liabilities, and in particular whether it is more appropriate to use the institution's risk weighted assets as a denominator for the requirement; (j) whether the approach of this Article on the application of the minimum requirement to groups is appropriate, and in particular whether the approach adequately ensures that loss absorbing capacity in the group is located in, or accessible to, the entities where losses might arise; (k) whether the conditions for waivers from the minimum requirement are appropriate, and in particular whether such waivers should be available for subsidiaries on a cross-border basis; (l) whether it is appropriate that resolution authorities may require that the minimum requirement be met in the institution's home Member State .	Report	Resolution	Minimum requirements for own funds and eligible liabilities	31/10/2016	1
265	RRD	42	5	EBA shall develop guidelines on the circumstances in which each of the actions referred to in paragraph 1 would be appropriate, having regard to the factors specified in paragraph 2 of Article 42.	Guidelines	Resolution	Treatment of shareholders	TBD	1
266	RRD	44	4	EBA shall, develop draft regulatory technical standards specifying methodologies and the principles referred to in points (a) and (b) and (c) of paragraph 3 of Article 44 on the valuation of liabilities arising from derivatives.	RTS	Resolution	Valuation of derivatives	TBD	1
267	RRD	45	4	EBA shall develop guidelines on the setting of conversion rates. The guidelines shall indicate, in particular, how affected creditors may be appropriately compensated by means of the conversion rate, and the relative conversion rates that might be appropriate to reflect the priority of senior liabilities under applicable insolvency law.	Guidelines	Resolution	Rate of conversion of debt to equity	TBD	1
268	RRD	47	10	EBA shall develop guidelines to specify further: (a) the minimum elements that should be included in a business reorganisation plan pursuant to paragraph 3 of Article 47; and (b) the minimum contents of the reports pursuant to paragraph 8 of Article 47.	Guidelines	Resolution	Business reorganisation plan	TBD	1
269	RRD	52	6	According to para. 5 of Art. 52 MS shall require institutions to ensure that the exercise by resolution authorities of the write down power in compliance with Art. 51 (1) does not constitute an event of default or credit event under the relevant capital instruments. In order to ensure consistent application of paragraph 5, EBA and ESMA shall jointly develop draft regulatory technical standards to specify the meaning of 'credit event' for the purposes of that paragraph.	RTS	Resolution	Credit Event	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
270	RRD	58	5	EBA shall develop guidelines to specify the minimum list of services or facilities that are necessary to enable a recipient to effectively operate a business transferred to it.	Guidelines	Resolution	Valuation of difference of treatment of shareholders and creditors	TBD	2
271	RRD	63	7	EBA shall develop guidelines further specifying the following elements for the purposes of paragraph 5a of Article 63. (a) the information on contracts that should be contained in the detailed records; (b) the circumstances in which the requirement should be imposed.	Guidelines	Resolution	Providing information in a summary or collective form	TBD	2
272	RRD	66	4	EBA shall develop draft regulatory technical standards specifying the methodology for carrying out the valuation in Article 66, in particular the methodology for assessing the treatment that shareholders and creditors would have received if the institution under resolution had entered insolvency proceedings immediately before the transfer, write down or conversion was effected.	RTS	Resolution	Valuation of difference of treatment of shareholders and creditors	TBD	1
273	RRD	75	2,3,4b	The resolution authority shall notify the institution under resolution and EBA of the resolution action. A notification according to this paragraph shall include a copy of any order or instrument by which the relevant powers are exercised and shall indicate the date from which the resolution actions are effective. The notification referred to in paragraph 2 shall include a copy of any order or instrument by which the relevant powers are exercised and indicate the date from which the tool is or powers are effective. The resolution authority shall publish or ensure the publication of either a copy of the order or instrument by which the resolution action is taken, or a notice summarising the effects of the resolution action, and in particular the effects on retail investors, by the following means: (b) on the website of the competent authority, if different from the resolution authority, or on the website of EBA;	Notification	Resolution	Register on the application of resolution action	TBD	2
274	RRD	76	5	EBA shall develop guidelines to specify how information should be provided in summary or collective form for the purposes of paragraph 2 of Article 76.	Guidelines	Resolution	Reference point for setting the target level for resolution financing arrangement	TBD	2
275	RRD	80	9	EBA shall develop guidelines in order to specify the operational functioning of the resolution colleges for the performance of the tasks provided for in paragraph 1.	Guidelines	Resolution colleges		TBD	2
276	RRD	80	2b	EBA shall contribute to promoting and monitoring the efficient, effective and consistent functioning of resolution colleges and shall be invited to attend the meetings of the resolution college for that purpose. EBA shall not perform any of the tasks allocated to other members of the resolution college pursuant to paragraph 1 and shall not have any voting rights to the extent that any voting takes place within the framework of resolution colleges.	Various	Resolution colleges		Ongoing	2
277	RRD	85		EBA to take a decision on third country recognition. Rules on recognition of third country resolution proceedings are stipulated by Article 85.	Various	3rd countries	Recognition of third country resolution proceedings	TBD	2
278	RRD	8a	2	EBA shall develop guidelines specifying the minimum list of qualitative and quantitative indicators as referred to in Article 6 (1).	Guidelines	Recovery plans	Indicators for recovery plans	TBD	1
279	RRD	93	3a	EBA shall report to the Commission by October 2016 with recommendations on the appropriate reference point for setting the target level for resolution financing arrangement, and in particular whether covered deposits or total liabilities is a more appropriate basis.	Report	Resolution		31/10/2016	3
280	RRD	101a	4	Two years after the entry into force of the RRD, EBA shall report to the Commission on the publication of sanctions by Member States on an anonymous basis as provided for under the second paragraph and in particular whether there have been significant divergences between Member States in this respect. In addition, EBA shall report on any significant divergences in the duration of publication of sanctions under national law for Member States for publication of sanctions.	Report	Recovery and Resolution	Sanctions for breach of national laws implementing RRD provisions	TBD	2
281	RRD	101b	1	Subject to strict professional secrecy, resolution authorities and competent authorities shall inform EBA of all administrative sanctions imposed by them under Article 101 and information on the appeal status and outcome thereof. EBA shall maintain a central database of sanctions reported to it solely for the purpose of exchange of information between resolution authorities which shall be accessible to resolution authorities only and shall be updated on the basis of the information provided by resolution authorities. EBA shall maintain a central database of sanctions reported to it solely for the purpose of exchange of information between competent authorities which shall be accessible to competent authorities only and shall be updated	Notification	Recovery and Resolution	Maintenance of central database of sanctions	Ongoing	2
282	RRD	101b	2	EBA shall maintain a webpage with links to each resolution authority's publication of sanctions and each competent authority's publication of sanctions under Article 101a and indicate the time period for which each Member State publishes sanctions.	Various	Recovery and Resolution	Maintenance of a webpage with links to national publication of sanctions	Ongoing	2
283	RRD	74a	3	EBA shall develop guidelines to specify the procedures, contents related to the following requirements: (a) the notifications referred to in Article 74 paragraphs 1 to 3, (b) the notice of a suspension referred to in Article 75 paragraph 5a.	Guidelines	Resolution		TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
284	AML	6	1	ESAs shall provide joint opinion on the money laundering and terrorist financing risks affecting the internal market	Opinion/Consultation	AML	Opinion on Risks affecting the internal market	TBD	2
285	AML	7	2	Each Member State shall designate an authority to co-ordinate the national response to the risks referred to in paragraph 1. The identity of that authority shall be notified to the Commission, EBA, EIOPA and ESMA and other Member States.	Notification	AML		TBD	2
286	AML	7	5	Member States shall make the results of their risk assessments available to the other Member States, the Commission, and EBA, EIOPA and ESMA upon request.	Notification	AML		TBD	2
287	AML	15		EBA, EIOPA and ESMA shall issue guidelines addressed to competent authorities and the obliged entities ... on the risk factors to be taken into consideration and/or the measures to be taken in situations where simplified due diligence measures are appropriate. Specific account should be taken of the nature and size of the business, and where appropriate and proportionate, specific measures should be foreseen. These guidelines shall be issued within 2 years of the date of entry into force of this Directive.	Guidelines	AML	GL on Risk Based Approach (in Simplified Due Diligence)	TBD	2
288	AML	16	4	EBA, EIOPA and ESMA shall issue guidelines addressed to competent authorities and the obliged entities ... on the risk factors to be taken into consideration and/or the measures to be taken in situations where enhanced due diligence measures need to be applied. Those guidelines shall be issued within 2 years of the date of entry into force of this Directive	Guidelines	AML	GL on Risk Based Approach (in Enhanced Due Diligence)	TBD	2
289	AML	25	2	The Member States shall consider information available on the level of geographical risk when deciding if a third country meets the conditions laid down in paragraph 1 and shall inform each other, the Commission and EBA, EIOPA and ESMA to the extent relevant for the purposes of this Directive... of cases where they consider that a third country meets such conditions.	Notification	AML		TBD	2
290	AML	42	3	The Member States, EBA, EIOPA and ESMA shall inform each other of cases where the legislation of the third country does not permit application of the measures required under paragraph 1 and coordinated action could be taken to pursue a solution.	Notification	AML		TBD	2
291	AML	42	5	EBA, EIOPA and ESMA shall develop draft regulatory technical standards specifying the type of additional measures and the minimum action to be taken by obliged entities ... where the legislation of the third country does not permit application of the measures required under paragraphs 1 and 2. EBA, EIOPA and ESMA shall submit those draft regulatory technical standards to the Commission within two years of the date of entry into force of this Directive.	RTS	AML	RTS on additional measures for non-equivalent countries	TBD	2
292	AML	42	9	EBA, EIOPA and ESMA shall develop draft regulatory technical standards on the criteria for determining the circumstances when the appointment of a central contact point pursuant to paragraph 8 above is appropriate, and what the functions of central contact points should be. EBA, ESMA and EIOPA shall submit these draft regulatory technical standards to the Commission within two years of the date of entry into force of this	RTS	RTS on central contact points	RTS on central contact points	TBD	2
293	AML	45	10	EBA, EIOPA and ESMA shall issue guidelines addressed to competent authorities ... on the factors to be applied when conducting supervision on a risk-sensitive basis. Specific account should be taken of the nature and size of the business, and where appropriate and proportionate, specific measures should be foreseen. These guidelines shall be issued within 2 years of the date of entry into force of this Directive.	Guidelines	GL on Risk Based Supervision	GL on AML Sanctions	TBD	2
294	AML	57	3	EBA, EIOPA, and ESMA shall issue guidelines addressed to competent authorities... on types of administrative measures and sanctions and level of administrative pecuniary sanctions applicable to obliged entities referred to in Article 2(1)(1) and (2). These guidelines shall be issued within 2 years of the date of entry into force of this Directive.	Guidelines	AML	GL on Risk Based Supervision	TBD	2
295	Audit Regulation	25	2	In order to facilitate the exercise of the tasks (duty to report to supervisors) referred to in the first subparagraph, EBA and EIOPA shall issue guidelines addressed to the competent authorities supervising credit institutions and insurance undertakings...	Guidelines	Audit	GL for reports to supervisors	TBD	2
296	Audit Regulation	32	10	In order to facilitate the exercise of the task of the audited entity to organize a selection procedure for the appointment of a statutory auditor or audit firm, EBA, EIOPA and ESMA shall issue guidelines addressed to the public-interest entities on the criteria governing the selection procedure referred to in paragraph 3	Guidelines	Audit	GL on criteria for selection procedures	TBD	2
297	Audit Regulation	35	6	The Member States shall inform each other, EBA, EIPA and ESMA in accordance with the relevant provisions of Regulations (EU) No 1093/2010, (EU) No 1094/2010 and (EU) No 1095/2010, and shall inform the Commission of the appointment of competent authorities for the purposes of this Regulation.	Notification	Audit	Notification on the appointment of competent authorities	TBD	2
298	Audit Regulation	42	2	By X X 20XX [2 years after the entry into force of the Regulation], and at least on a two-year basis thereafter, each competent authority shall draw up a report on this issue and submit it to ESMA, EBA and EIOPA. ESMA, EBA and EIOPA shall use those reports to draw up a joint report on the situation at Union level. The report shall be submitted to the Commission, the European Central Bank and the European Systemic Risk Board.	Report	Audit	Report on developments in the market for providing statutory audit services to public-interest entities.	TBD	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
299	Audit Regulation	46	1	ESMA shall cooperate with EBA and EIOPA within the framework of the Joint Committee of the European Supervisory Authorities established in Article 54 of Regulation (EU) No 1095/2010.	Various	Audit	N/A	Ongoing	2
300	Audit Regulation	46	4	By X X 20XX [four years after the entry into force of the Regulation], and at least at on a two-year basis thereafter, ESMA shall prepare a report on the application of this Regulation. ESMA shall consult EBA and EIOPA before making public its report.	Report	Audit	Report on application of audit regulation	TBD	2
301	Audit Regulation	46	3 (a-m)	In order to facilitate the exercise of the tasks provided for in this Regulation, ESMA shall issue guidelines, in accordance with Article 16 of Regulation (EU) No 1095/2010, as appropriate, on (a to m) ... ESMA shall consult EBA and EIOPA before issuing the guidelines referred to in the first subparagraph: Guidelines Common standards : - on the content and presentation of the report referred to in Article 22, 23, (a), (b) - on the oversight activity of the audit committee referred to in 24, (c) - and best practices on the content and presentation of the report referred to in Article 27 including the statement referred to in Article 28, (d) - and best practices the gradual rotation mechanism referred to in Article 33, (e) - and best practices regarding the dismissal of auditors, in particular on the existence of proper grounds for it, as referred to in Article 34, (f) - and best practices for conducting quality assurance reviews provided for in Article 40, taking into consideration, in particular: (i) the different scale and dimension of activity of statutory auditors and audit firms and policies; (ii) the commonality of quality standards, policies and procedures to which members of networks of statutory auditors and audit firms adhere; (h) - and best practices for conducting investigations provided for in Article 41 (i) Enforcement practices and activities to be conducted by competent authorities under this Regulation (g) Procedures: - for the exchange of information provided for in Article 48 (j) - and modalities for cooperation with regard to quality assurance reviews provided for in Article 49 (k) - and modalities for joint investigations and inspections provided for in Article 51 (l) The operational functioning of the colleges provided for in Article 53, including on the modalities for determining the membership to the colleges, the selection of facilitators, the written arrangements for the	Guidelines	Audit	GL for facilitation of exercise of the tasks provided for in Audit Regulation	TBD	2
302	Audit Regulation	63	2	EBA, EIOPA and ESMA shall jointly issue guidelines addressed to competent authorities in accordance with Article 16 of Regulation No (EU) 1093/2010, Regulation No (EU) 1094/2010 and Regulation No (EU) 1095/2010 on types of administrative measures and sanctions and level of administrative pecuniary sanctions to be applied in individual cases within the national legal framework.	Guidelines	Audit	GL on administrative measures and sanctions	TBD	2
303	DGS Directive	TBC	TBC	The EBA shall issue guidelines/regulatory technical standards on the calculation of contributions to Deposit Guarantee Schemes, under the proposed revision to DGS Directive	TBD	Deposit Guarantee Schemes	TBD	TBD	1
304	DGS Directive	TBC	TBC	Member States shall inform the EBA of the amount of eligible deposits and covered deposits in their Member State and of the amount of the available financial means of their DGS.	Notification	Deposit Guarantee Schemes	Financing of DGSs	Ongoing	2
305	DGS Directive	TBC	TBC	The EBA shall be notified of the existence and the content of written cooperation agreement concluded between DGSs or, where appropriate, the competent authorities. The EBA may issue opinions on such agreements. If competent authorities or DGSs cannot reach an agreement or if there is a dispute about the interpretation of such agreement, the EBA shall settle disagreements.	Notification	Deposit Guarantee Schemes	Cooperation between DGS within the Union	Ongoing	2
306	Bank Accounts Directive	3	n/a	Guidelines for NSAs to help them establish most common services	Guidelines	Bank accounts	GL to NSAs on most common banking services	31/12/2014	1
307	Bank Accounts Directive	17	n/a	Guidelines for NSAs to help them establish reasonable costs for basic account	Guidelines	Bank accounts	GL to NSAs on reasonable costs for basic account	31/12/2014	1
308	Payment Services Directive	14	4	Develop RTS setting the technical requirements regarding access to information contained in the national public registers	RTS	Payment Services	Web Portal	31/12/2014	1
309	Payment Services Directive	14	4 + 7	Develop two draft regulatory technical standards specifying the framework for the cooperation and exchange of information of competent authorities of the home Member State	RTS	Payment Services	RTS on framework of cooperation	31/12/2014	1
310	Payment Services Directive	14	n/a	Develop and create a web portal serving as European electronic access point interconnecting the public registers in home Member States	Various	Payment Services	Web Portal	31/12/2014	1
311	Payment Services Directive	26	5	Issue guidelines to NCAs on the elements to be taken into consideration when decidign whether the activity the payment institution notified intends to provide in anotehr MS would amount to the exercise of the right of establishment or freedom to provide services.	Guidelines	Payment Services	GL on elements to decide right of establishment of payment institution	31/12/2014	1
312	Payment Services Directive	86	2	Develop, in close cooperation with the ECB, guidelines with regard to the establishment, implementation and monitoring of security measures, including certification processes.	Guidelines	Payment Services	GL on security measures incl authentication	31/12/2014	1
313	Payment Services Directive	86	4	Develop guidelines to facilitate payment service providers in qualifying major incidents and the circumstances under which a payment institution is required to notify a security incident.	Guidelines	Payment Services	GL on security measures	31/12/2014	1

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
314	Payment Services Directive	86	4	Develop guidelines to facilitate payment service providers in qualifying major incidents and the circumstances under which a payment institution is required to notify a security incident.	Guidelines	Payment Services	GL on notification of security incident	31/12/2014	1
315	Payment Services Directive	87	3	Develop, in close cooperation with the ECB, issue guidelines addressed to payment service providers on state of the art customer authentication	Guidelines	Payment Services	GL on authentication	31/12/2014	1
316	CSD	57	5	EBA, in consultation with ESMA and the members of the ESCB, shall develop draft regulatory technical standards to specify the following: (a) the frequency of the credit exposure measuring and monitoring framework referred to in point (b) of paragraph 3 and the types of risk-management tools that shall be used to control the risks derived from these exposures; (b) what constitutes equivalent financial resources for the purpose of point (c) of paragraph 3; (c) the type of collateral that can be considered as having low credit, liquidity and market risk for the purpose of point (d) of paragraph 3; (d) what constitutes appropriate haircuts for the purposes of point (e) of paragraph 3 and point (b) of paragraph 4; (e) the concentration limits on collateral values referred to in point (e) of paragraph 3, on credit exposures referred to in point (g) of paragraph 3 and on liquidity providers referred to in point (e) of paragraph 4; (f) what constitutes deterrent sanctioning rates for the purpose of point (j) of paragraph 3; (g) the details of the monitoring framework referred to in point (b) of paragraph 4 and the methodology for calculating the value of available liquid assets for the purpose of point (b) of paragraph 4 and for measuring the liquidity needs and risks referred to in point (c) of paragraph 4; (h) what constitutes immediately available resources and an adequate risk and market profile for the purpose of point (d) of paragraph 4; (i) the frequency, the type and the time horizons of the stress tests for the purpose of point (f) of paragraph 4; (j) the criteria for assessing	RTS	CDS	RTS on prudential requirements applicable to credit institutions designated to provide banking type of ancillary services of ancillary services	TBD	2
317	CSD	57	5	EBA, in consultation with ESMA and the members of the ESCB, shall develop draft regulatory technical standards to specify the following: (a) the frequency of the credit exposure measuring and monitoring framework referred to in point (b) of paragraph 3 and the types of risk-management tools that shall be used to control the risks derived from these exposures; (b) what constitutes equivalent financial resources for the purpose of point (c) of paragraph 3; (c) the type of collateral that can be considered as having low credit, liquidity and market risk for the purpose of point (d) of paragraph 3; (d) what constitutes appropriate haircuts for the purposes of point (e) of paragraph 3 and point (b) of paragraph 4; (e) the concentration limits on collateral values referred to in point (e) of paragraph 3, on credit exposures referred to in point (g) of paragraph 3 and on liquidity providers referred to in point (e) of paragraph 4; (f) what constitutes deterrent sanctioning rates for the purpose of point (j) of paragraph 3; (g) the details of the monitoring framework referred to in point (b) of paragraph 4 and the methodology for calculating the value of available liquid assets for the purpose of point (b) of paragraph 4 and for measuring the liquidity needs and risks referred to in point (c) of paragraph 4; (h) what constitutes immediately available resources and an adequate risk and market profile for the purpose of point (d) of paragraph 4; (i) the frequency, the type and the time horizons of the stress tests for the purpose of point (f) of paragraph 4; (j) the criteria for assessing	RTS	CDS	RTS on prudential requirements applicable to credit institutions designated to provide banking type of ancillary services of ancillary services	TBD	2
318	CSD	58	4	In order to ensure consistent, efficient and effective supervision within the Union of credit institutions designated to provide banking type of ancillary services, EBA, in consultation with ESMA and the members of the ESCB, may issue guidelines addressed to competent authorities in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	CDS	GL on Supervision of credit institutions designated to provide banking type of ancillary services	TBD	2
319	CSD	58	4	In order to ensure consistent, efficient and effective supervision within the Union of credit institutions designated to provide banking type of ancillary services, EBA, in consultation with ESMA and the members of the ESCB, may issue guidelines addressed to competent authorities in accordance with Article 16 of Regulation (EU) No 1093/2010.	Guidelines	CDS	GL on Supervision of credit institutions designated to provide banking type of ancillary services	TBD	2
320	EBA Regulation	9 & 34 [+ PSD Art 10(4)]	n/a	Assessment of the proposed EC review of the Payment Services Directive to assess the extent to which the proposals are sufficient to address consumer detriment experienced in MS arising from payment services, and to identify which, if any, additional requirements be ought to be imposed, either in the PSD or through separate EBA guidelines.	Guidelines plus Advice	Payment Services	TBD	30/06/2014	2
321	EBA Regulation	1	3	Monitor developments in accounting standards (Input into accounting standard setting process and exchange of views with IASB and other relevant stakeholders)	Various	N/A		N/A	2
322	EBA Regulation	1	3	Monitor developments in auditing standards (input into auditing standard setting process and exchange of views with IAASB and other relevant stakeholders)	Various	N/A		N/A	2
323	EBA Regulation	1	3	Monitor developments in accounting standards (Input into accounting standard setting process and exchange of views with IASB and other relevant stakeholders)	Comment letters	Various	N/A	N/A	2
324	EBA Regulation	8	1 (as amended)	EBA Project to develop a single supervisory handbook	Handbook	Single Supervisory Handbook	N/A	31/12/2014	1

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
325	EBA Regulation	8	1 g	EBA to undertake economic analysis of markets to inform the discharge of the EBA's functions, including Impact Assessment to EBA's regulatory products	Impact Assessment	Economic Analysis	N/A	n/a	2
326	EBA Regulation	8	1 g	EBA to undertake economic analysis of markets to inform the discharge of the EBA's functions, including Impact Assessment to EBA's regulatory products	Impact Assessment	Economic Analysis	N/A	n/a	2
327	EBA Regulation	9	2	Review of the effectiveness of the Opinion on Good Practices for responsible mortgage lending that was published in March 2013 and assess which, if any, further action is required	TBD	Mortgages	Review of effectiveness of EBA Opinion on responsible lending	31/10/2014	1
328	EBA Regulation	9	2	Review of the effectiveness of the Opinion on Good Practices for treatment of mortgage borrowers in payment difficulties that was published in March 2013 and assess which, if any, further action is required	TBD	Mortgages	Review of effectiveness of EBA Opinion on treatment of borrowers	31/10/2014	1
329	EBA Regulation	9	2	Analysis of banks' activities in structured products and the retailisation thereof (with structured products broadly defined as notes/certificates with or without capital protection having a payoff based on complex/exotic derivatives)	Opinion	Structured products and retailisation	Report on structured products and retailisation	30/03/2014	1
330	EBA Regulation	9	2	Search for innovative products or innovative use of existing products with the potential to undermine the EBA's ability to deliver on its objectives to ensure that consumers and depositors are protected; and that the financial system is stable and effective. This may include structured products; virtual currencies; crowd funding; and alternative payment channels	Monitoring	Innovative products	Search for Innovative Products which may cause harm	Ongoing	2
331	EBA Regulation	9	1a	Consumer Trends Report 2014	Report	Consumer trends	Consumer Trends Report	31/03/2014	1
332	EBA Regulation	9	1a	Consumer Protection Day, jointly organised by the three ESAs	Various	Consumer trends	Joint ESAs Consumer Protection Day	30/09/2014	1
333	EBA Regulation	17		The EBA staff will, whether upon request from stakeholders (competent authorities, Union institutions, or the Stakeholder Group) or on its own initiative, investigate breaches of Union law by competent authorities. The EBA can issue recommendations to competent authorities setting out the action necessary to comply with Union law. If the competent authority does not comply, the Commission can issue a formal opinion requiring action. If the competent authority fails to comply with the formal opinion then the EBA can, in certain circumstances, issue decisions to individual institutions requiring them to take action to comply with Union law.	Recommendation	Breach of EU Law	N/A	Ongoing	2
334	EBA Regulation	19		Settlement of disagreements between competent authorities in cross-border situations - the drafting and application of rules of procedure; overseeing the organisation and functioning of the Panel.	Various	Mediation		N/A	2
335	EBA Regulation	22	2	Production and update of the aggregate risk dashboard for EBA BoS and ESRB	Various	Risk analysis	N/A	Ongoing	2
336	EBA Regulation	22	2	Maintenance and upgrade of bank specific risk dashboards and peer analysis tools to contribute to the work of colleges of supervisors.	Various	Risk analysis	N/A	Ongoing	2
337	EBA Regulation	25	1	The Authority shall contribute to and participate actively in the development and coordination of effective and consistent recovery and resolution plans, procedures in emergency situations and preventive measures to minimise the systemic impact of any failure. Building a database of information on recovery plans and resolution strategies. Advise authorities in the process of implementation of the RRD framework.	Various	Recovery and resolution		Ongoing	1
338	EBA Regulation	29	1	Training for NSAs on Supervisory Handbook	Training/Workshop	Supervisory handbook		31/12/2014	1
339	EBA Regulation	29	1	Stock-takes of supervisory practices in specific areas and drafting guidelines and best practices papers.	Guidelines	SREP	N/A	Ongoing	2
340	EBA Regulation	29	1d	Reviewing application of CRDIV/CRR TS and Guidelines, and proposing amendments where appropriate	Advice	N/A	N/A	Ongoing	2
341	EBA Regulation	29	1e	As part of EBA facilitating training programmes to NSAs, EBA to provide training on range of practices in organisation of banking supervision and supervisory structures in Europe, including home host cooperation, and the supervisory handbook	Training	Supervisory Culture	2014 Training Programme	31/12/2014	1
342	EBA Regulation	29	1e	To develop common supervisory culture and consistent supervisory practices, by establishing and facilitating training programmes to NSAs, including range of practices in organisation of banking supervision and supervisory structures in Europe	Training	Supervisory Culture	2014 Training Programme	Ongoing	2
343	EBA Regulation	30		In order to increase the degree of such convergence, EBA shall conduct peer reviews in accordance with Article 30 of Regulation (EU) No 1093/2010. Specifically peer review on EBA Guidelines on Concentration Risk (GL 31)	Peer review	Concentration risk	Peer review of SREP Practices and methodologies	31/12/2014	1
344	EBA Regulation	32	1	EBA to produce Quarterly risk assessment (to BoS/ESRB) as well as regular analyses on risks and vulnerabilities and thematic work for internal and external users.	Report	Risk analysis	N/A	Ongoing	2
345	EBA Regulation	32	1	EBA to facilitate and provide technical support to National Competent Authorities work following the EBA BoS Recommendation to conduct Asset Quality Reviews	Various	Risk analysis	Asset quality review	31/12/2014	1

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
346	EBA Regulation	32	1	Monitoring and assessments of capital plans as specific activity (i) in follow up to the EBA Recommendation on capital preservation ; and (ii) to ensure effective supervisory assessment of stress test results	Various	Risk analysis	Recapitalisation and stress test	31/12/2014	1
347	EBA Regulation	32	2	EBA to initiate EU-wide stress testing exercise by developing common methodologies, benchmarks and top down assessments	Various	stress testing	Stress tests	Ongoing	2
348	EBA Regulation	32	2	EBA to coordinate EU-wide stress testing exercise and provide a report of such an exercise	Report/Recommendation	stress testing	Stress tests	Ongoing	2
349	EBA Regulation	32	4	Sectoral contribution to ESAs cross-sectoral risk assessment work	Report	Risk analysis	N/A	Ongoing	2
350	EBA Regulation	33	2	Assist in preparing cooperation agreements with 3rd countries	Various	3rd countries	Cooperation agreements with 3rd countries	Ongoing	2
351	EBA Regulation	33	2	Assisting consolidating supervisors in the assessment of equivalence professional secrecy regimes in third countries and promoting consistency of such decisions (also Art. 46 CRD, Art. 56 CRD proposal)	Report	3rd countries	N/A	Ongoing	2
352	EBA Regulation	36	N/A	Cooperation with ESRB and ECB on data related issues	Various	Reporting	Contact Group on Data	Ongoing	2
353	EBA Regulation	37	4 (new)	EBA shall ensure adequate secretarial support for the Banking Stakeholder Group	Various	Various		Ongoing	2
354	EBA Regulation	40		Board of Supervisors - the drafting and application of rules of procedure, overseeing the organisation and functioning of the Board.	Various	Board of Supervisors		N/A	2
355	EBA Regulation	43	1-5	The accounting officer is responsible in the Authority for: - proper implementation of payments, collection of revenue; - preparing and presenting the accounts in accordance with the rules set up the Accounting Officer of the Commission; - laying down and validating the accounting systems and, where appropriate, validating systems laid down by the authorising officer to supply or justify accounting information; - treasury management.	Various	Annual Accounts	N/A	Ongoing	2
356	EBA Regulation	45		Management Board - the drafting and application of rules of procedure; overseeing the organisation and functioning of the Board	Various	Management Board		N/A	2
357	EBA Regulation	53	4, 5	Production of the Annual and multi annual work programme	Various	Work Programme		30/09/2014	1
358	EBA Regulation	55	3	Provide support to the work of the ESA's Joint Committee of the European Supervisory Authorities, their subgroups and their chairperson	Various	Various		Ongoing	2
359	EBA Regulation	58		EBA shall provide adequate operational and secretarial support to the Board of Appeal	Various	Board of Appeal		n/a	2
360	EBA Regulation	60		Where an appeal is lodged against the EBA, the EBA shall provide representations on its case.	Various	Board of Appeal		N/A	2
361	EBA Regulation	61		Where an appeal is taken to the Court of Justice of the EU, the EBA shall take the necessary measures to comply with the judgement.	Various	Board of Appeal		N/A	2
362	EBA Regulation	67		EBA shall apply the privileges and immunities of the EU to the Authority and its staff	Various	Various		Ongoing	2
363	EBA Regulation	68		Preparation, interpretation and implementation of the Staff Implementing Rules, application of Staff Regulations, EBA contracts.	Various	Staff Regulations		Ongoing	2
364	EBA Regulation	68	1	Coordinate internal training for EBA staff	Training	Training	N/A	Ongoing	2
365	EBA Regulation	70		Members of the Board of Supervisors and the Management Board, the Executive Director, and members of the staff of the Authority including officials seconded by Member States on a temporary basis and all other persons carrying out tasks for the Authority on a contractual basis shall be subject to the requirements of professional secrecy pursuant to Article 339 TFEU and the relevant provisions in Union legislation, even after their duties have ceased. Article 16 of the Staff Regulations shall apply to them.	Various	Professional Secrecy		N/A	2
366	EBA Regulation	71		EBA shall respect the data protection obligations. Obligations of Member States relating to their processing of personal data under Directive 95/46/EC or the obligations of the Authority relating to its processing of personal data under Regulation (EU) No 45/2001 when fulfilling its responsibilities. Preparation, drafting of implementing rules, and their application.	Various	Data Protection		N/A	2
367	EBA Regulation	72		Regulation (EC) No 1049/2001 shall apply to documents held by the Authority. Preparation, drafting of implementing rules and application of the Decision in relation to Access to Documents; replying to requests for access to documents pursuant to Regulation (EU) 1049/2001.	Various	Access to Documents		N/A	2
368	EBA Regulation	74		Preparation and maintenance of the Headquarters Agreement with the UK Authorities, address any issues received by the Host State, and any issues arising pursuant to Article 67 of the EBA Regulation.	Various	Relations with Host State		N/A	2
369	EBA Regulation	8(h) + 9(d) [+ CRD Art 74]	n/a	Regulatory response by ESMA and EBA to "self placement" of financial instruments to own depositors	Guidelines + Warning + Opinion (but TBC as driven by analytical result)	Deposits	GL to NSAs and firms on disclosure requirements for deposits	31/03/2014	1

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370	EBA Regulation	9 [+ CRD Art 74; PSD Art 10(4); EMD Art 3(1)]	n/a	Develop product oversight and governance requirements for banking products, using existing Joint Committee work as a basis.	Guidelines	Product Oversight & Governance	Product Oversight & Governance requirements for banking products	30/06/2014	2
371	EBA Regulation	9 [+ CRD Art 74; PSD Art 10(4); EMD Art 3(1)]	n/a	Identify the extent to which sales incentives have led to unsuitable, inappropriate, excessively risky or excessively pricey banking products being sold to consumers, who suffer detriment as a result, and develop policy mitigations, if required.	Guidelines	Sales incentives	TBD	31/12/2014	2
372	MCD	21	2a	Regulatory technical standards on professional indemnity insurance	RTS	Mortgages	RTS on professional indemnity insurance	30/04/2014	1
373	EBA initiative	N/A		The provision of legal support regarding complaints received directly from individuals, via the European Ombudsman or other institutions or bodies.	Various	Complaints		Ongoing	1
374	EBA initiative	N/A	N/A	Support EBA senior management at meetings of external policy makers (i.e. BCBS), EU political institutions (Council, EP and Commission) and regulatory bodies, and prepare EBA responses to such meetings/policy makers/regulatory bodies/institutions	Various	Various		Ongoing	2
375	EBA initiative	N/A	N/A	Guidelines on Pillar 2 risks, to include EBA GLs on IRRBB and on FX	Guidelines	SREP	GL on Pillar 2	31/12/2014	1
376	EBA initiative	various	various	Providing legal support in respect to the development of EBA's regulatory products	Various	Various	N/A	n/a	2
377	EBA initiative	various	various	Providing legal support in respect to the EBA's oversight activities in producing supervisory recommendations, and in dispute resolution	Various	Various	N/A	Ongoing	2
378	EC Request			EBA staff to advise and support the EC in its financial assistance work of supporting EU Member States seeking financial assistance within and beyond formal "programme".	Various	Risk analysis	n/a	TBD	2
379	Joint Committee Rules of Procedure			Chairmanship of the Joint Committee in 2014	Various	Joint Committee	N/A	31/12/2014	1
380	ESRB	N/A	N/A	Monitoring of fulfilment of ESRB warnings and recommendations	Various	Risk analysis	N/A	Ongoing	2
381	ESRB	N/A	N/A	EBA to collect and assess thematic risks of funding plan as per ESRB Recommendation, and produce Guidelines	Guidelines	Funding Plans	GL on Funding plans	31/12/2014	1
382	ESRB	N/A	N/A	EBA to collect and assess thematic risks of funding plan as per ESRB Recommendation, and produce a Report	Report	Risk analysis	Report on funding plans	31/12/2014	1
383	ECB	N/A	N/A	Enhance convergence and integration of supervisory and monetary policy databases (JEGR and GRISS).	Various	Reporting		31/12/2014	1
384	European Court of Auditors			Implementation of ECA audit recommendations	Various	Various		31/12/2014	1
385	Financial Regulation applicable to the general budget of European communities(No 966/2012 of the European Parliament and of the Council)	208	1	The Commission shall be empowered to adopt a framework financial Regulation by means of a delegated act in accordance with Article 210 for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The framework financial regulation shall be based on the principles and rules set out in this Regulation. The financial rules of those bodies shall not depart from the framework financial regulation except where their specific needs so require and with the Commission's prior consent.	Alignment of the EBA Financial Regulation with the new framework financial Regulation to be adopted by the Commission at the end of 2013	Financial Regulation	N/A	01/03/2014	1
386	SEC(2007)1341 of 16/10/2007			Continuous improvement of Internal Control Standards (incl. implementation of IAS recommendations in line with the proposed action plan)	Various	Various		Ongoing	2
387	Support to CRDIV/CRR deliverables	N/A	N/A	Implement Analytical and Reporting platform for COREP, FINREP and ad-hoc surveys	IT project	Reporting		31/12/2014	1
388	Support to CRDIV/CRR deliverables	N/A	N/A	IT Release 1/2014 to implement EBA's work program - Identify and implement IT solution to support EBA's legislative requirements under EBA Regulation, including those requirements sought under legally binding acts under the EBA's scope of action	IT project	Various	N/A		2
389	Support to CRDIV/CRR deliverables	N/A	N/A	IT Release 2/2014 to implement EBA's work program - Identify and implement IT solution to support EBA's legislative requirements under EBA Regulation, including those requirements sought under legally binding acts under the EBA's scope of action	IT project	Various	N/A		2
390	Support to CRDIV/CRR deliverables	N/A	N/A	IT Release 3/2014 to implement EBA's work program - Identify and implement IT solution to support EBA's legislative requirements under EBA Regulation, including those requirements sought under legally binding acts under the EBA's scope of action	IT project	Various	N/A		2
391	Support to CRDIV/CRR deliverables	N/A	N/A	Developing the analytical model for storing and analysing supervisory reporting data as well as tools for ensuring user-friendly access to data by EBA users.	Various	Risk analysis		Ongoing	2
392	Support to CRDIV/CRR deliverables	various	various	Implement Data Collection and Data Exchange platform for COREP & FINREP data	IT project	Reporting		31/12/2014	1
393	Support to CRDIV/CRR deliverables	various	various	Providing interpretation of CRDIV/CRR implementation issues	Advice	N/A	N/A	Ongoing	2

WP Reference number	Source	Article / Recital	Paragraph	Description of the task	Product Type	Topic	Title where appropriate	Deadline	Priority
394	Support to CRDIV/CRR deliverables	various	various	Training for NSAs on Home-host cooperation and reaching joint decision	Training/Workshop	Colleges and JD		31/12/2014	1
395	Support to CRDIV/CRR deliverables	various	various	Further roll out of EBA IT tool of college portal to NSAs	Various	Home-host	N/A	Ongoing	2
396	Support to CRDIV/CRR deliverables	various	various	COREP framework maintenance (ITS website, implementation questions, monitoring CRR)	Various	Reporting	N/A	Ongoing	2
397	Support to CRDIV/CRR deliverables	various	various	FINREP framework maintenance (ITS website, implementation questions, monitoring IFRS standards)	Various	Reporting	N/A	Ongoing	2
398	Support to CRDIV/CRR deliverables	various	various	Analysis of the quantitative impact data on implementation of Basel III/CRD IV	Report	Risk analysis	Report on banks' status of Basel III implementation	Ongoing	2
399	Support to CRDIV/CRR deliverables	various	various	As part of the EBA's College action plan, to identify and engage in 3rd Country college discussions	Various	Organisation of supervision	N/A	31/12/2014	2
400	Office support	N/A	N/A	Maintenance and support of existing IT environment	IT project	Various		Ongoing	2
401	Office support	N/A	N/A	Implement workflow system	IT project	Various		31/12/2014	1
402	Office support	N/A	N/A	Office move: the EBA needs to select new premises to address mid/long term office requirements. The work (including premises selection, any necessary procurements, refurbishment, IT setup and the move) has to be completed by end 2014 when current rental agreements expire.	Office support	Various		31/12/2014	1
403	Office support	N/A	N/A	Administrative support: Financial Management	Office support	Various		Ongoing	2
404	Office support	N/A	N/A	Administrative support: Implementation of the 2013 Procurement Plan, approved by the MB	Office support	Various		31/12/2014	1
405	Office support	N/A	N/A	Administrative support: Event Management	Office support	Various		Ongoing	2
406	Office support	N/A	N/A	Administrative support: Travel Management	Office support	Various		Ongoing	2
407	Office support	N/A	N/A	External and Internal Communications	Various	Various		Ongoing	2
408	Office support	N/A	N/A	Support EBA senior management at meetings of external policy makers (i.e. BCBS), EU political institutions (Council, EP and Commission) and regulatory bodies, and prepare EBA responses to such meetings/policy makers/regulatory bodies/institutions	Various	Various		Ongoing	2
409	Office support	N/A	N/A	Administrative support: HR Management	Office support	Various		Ongoing	2